

**ICE OBSERVATIONS  
IN THE ATMOSPHERE  
1949 – 2004**

**Six stories and a status report**

**June 7, 2004  
Ice Initiation Workshop**

## **The stories:**

- 1. The foundation**
- 2. Nucleation prularity**
- 3. The graupel process**
- 4. The multiplication success**
- 5. The cloud seeding question**
- 6. Other facets**

4V.

Deutscher Wetterdienst in der US-Zone  
Zentralamt Bad Kissingen  
Leiter: Prof. Dr. Ludwig Weickmann

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**Berichte**  
des  
**Deutschen Wetterdienstes**  
**in der US-Zone**

**Nr. 6**

**Die Eisphase in der Atmosphäre**

Von Dr. Helmut Weickmann, Hohenpeißenberg

Bad Kissingen, 1949

Weickmann, 1949

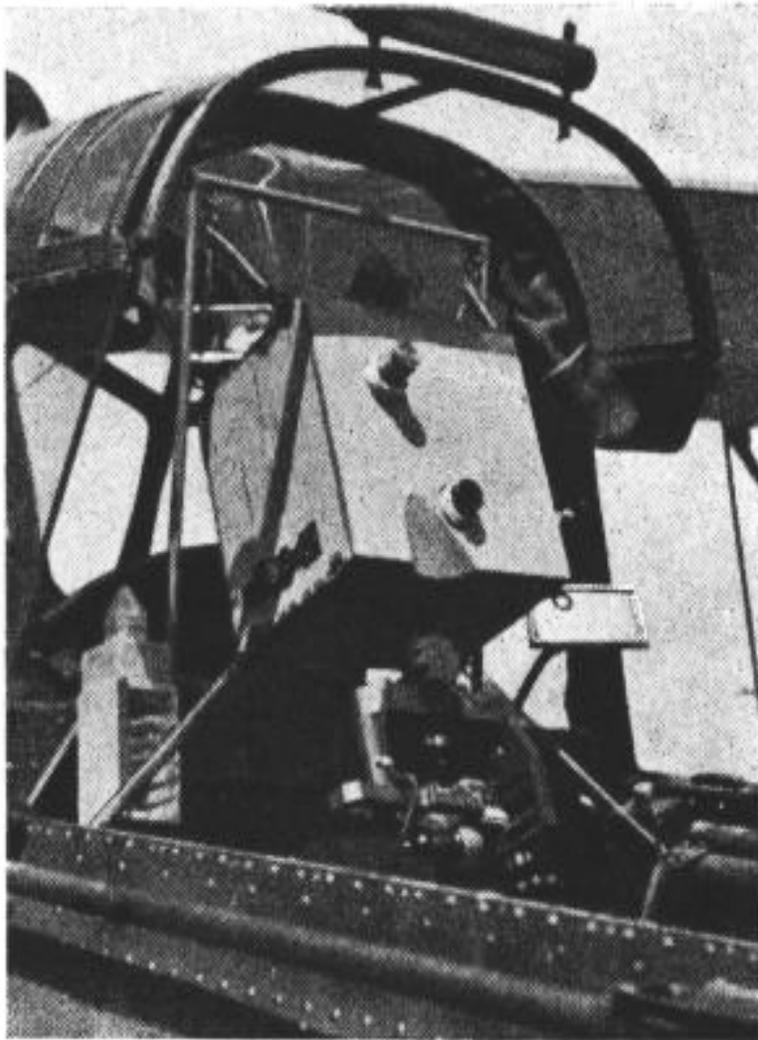


Abb. 16 Mikroskop im Beobachterstand der Nahaufklärermaschine Hs 126

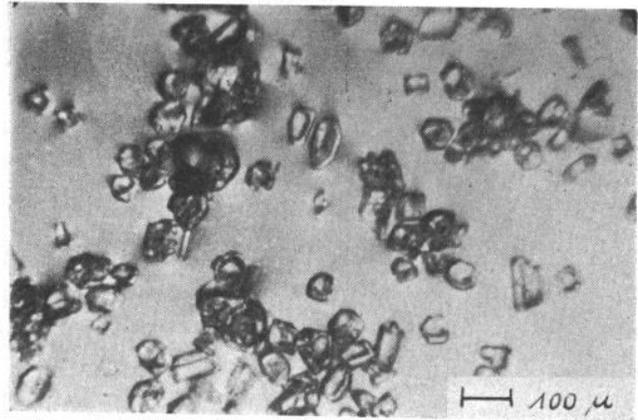
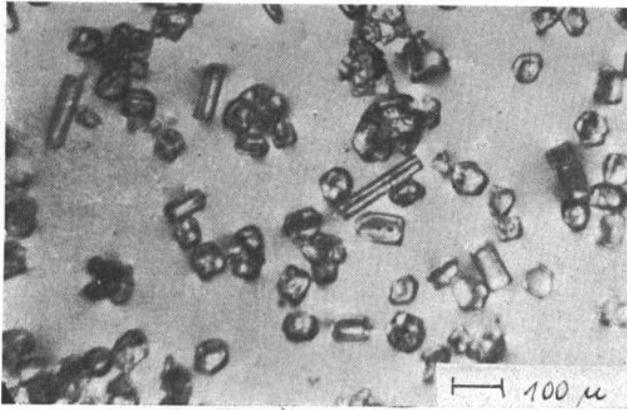


Abb. 45a, b Cirrostratus-Oberteil, 8900 m -47° C

**Ci top, 8900m -47°C**

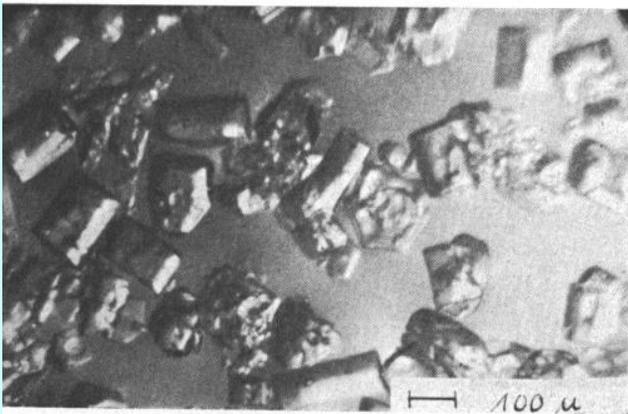


Abb. 46 Cirrostratus-Basis, 6000 m -26° C

**Ci base, 6000m -26°C**

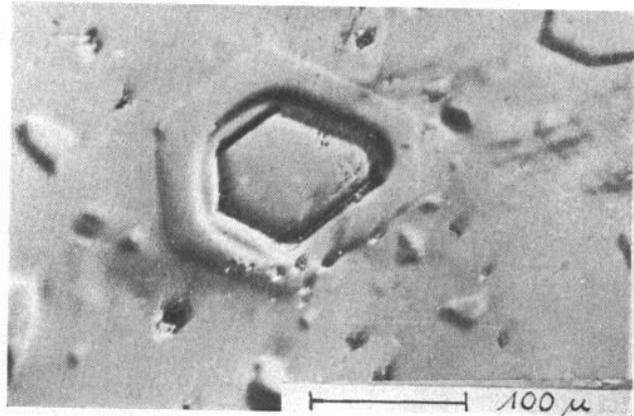
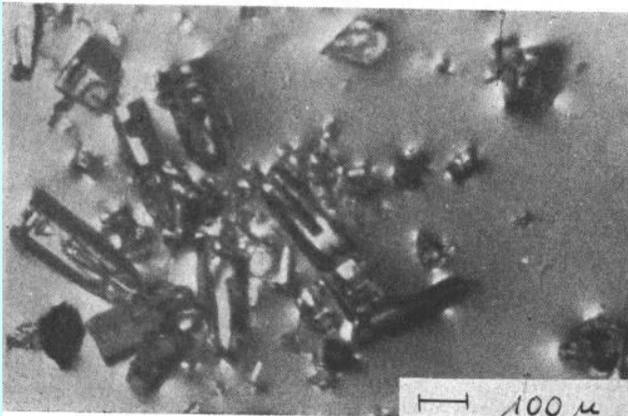
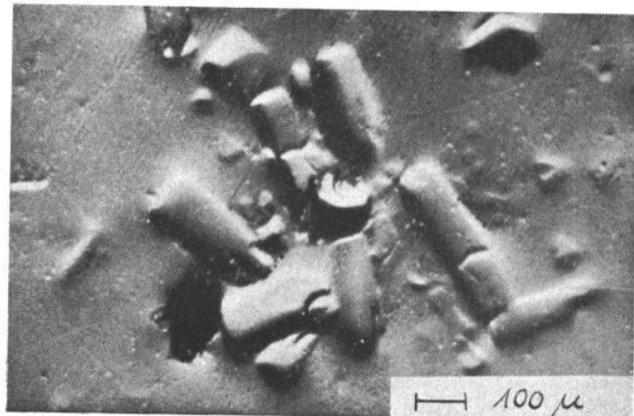


Abb. 47 Basisflächen-Abdruck aus Cs, 6000 m -30° C

**Cs, 6000m -30°C**



a) Bild der Kristalle



b) Bild der Abdrucke

Abb. 48a, b Cirrostratus 6000 m -30° C

**Cs, 6000m -30°C**

# 1. THE FOUNDATION.

- The large variety of cloud forms, and their varying propensity to produce precipitation proved elusive to meaningful scientific analyses until the beginnings of the 20th century.
- Advances in the physical chemistry of colloids proved to be a useful basis for thinking about clouds in the atmosphere, and to address the perplexing question of precipitation formation.

*A Goodly Gallerye*  
WILLIAM FULKE'S  
BOOK OF METEORS

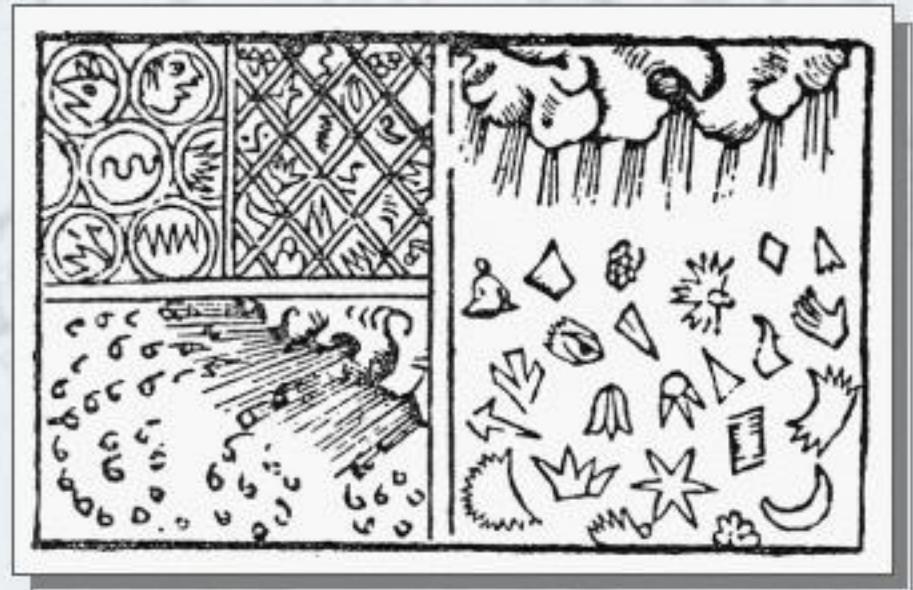
(1563)

Edited with an Introduction  
and Notes by

THEO...

THE A  
Inst

UNIVERSITY OF WYOMING  
LARAMIE 82071



Olaus Magnus, 1555

“Snowe is a cloude congeled by greate colde, before it be perfectlye resolued from vapors into water. .... Other matters of snowe because they are commen with raine, are needles to be spoken of. .... Snowe causeth thinges growing to be fructfull, ...”

## **Alfred Wegener, 1911**

Based on observations made during arctic expeditions, he argued that ice formation needed *sublimation nuclei* just as droplet formation needs condensation nuclei.

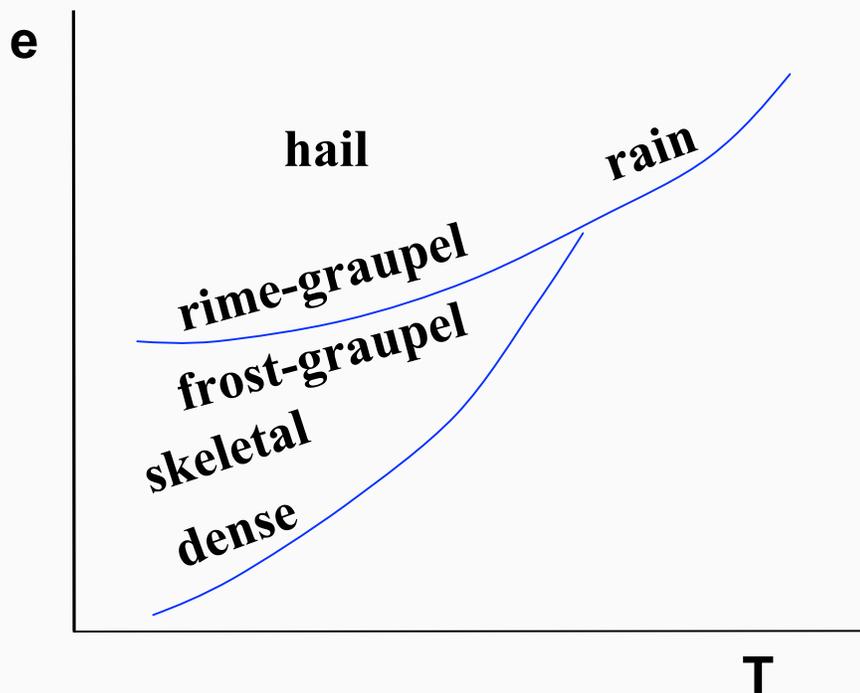
Thought that "isomorphism" is the essential criterion, and that quartz particles fill that role.

Recognized that colloidal instability results from vapor pressure difference between ice and water at temperatures  $<0^{\circ}\text{C}$ .

A. Wegener, 1911:

*“Thermodynamik der Atmosphäre”*

330 pages



Discusses the importance of the difference between saturation with respect to water or ice, the impact this has on ice forms. Cites evidence for ice contrail in clear air (diagnosed by 22° halo), ice fogs at temp. <-40°C.

Recognizes that the existence of water droplets at temperatures below 0°C play a role in the formation of rime-graupel and hail.

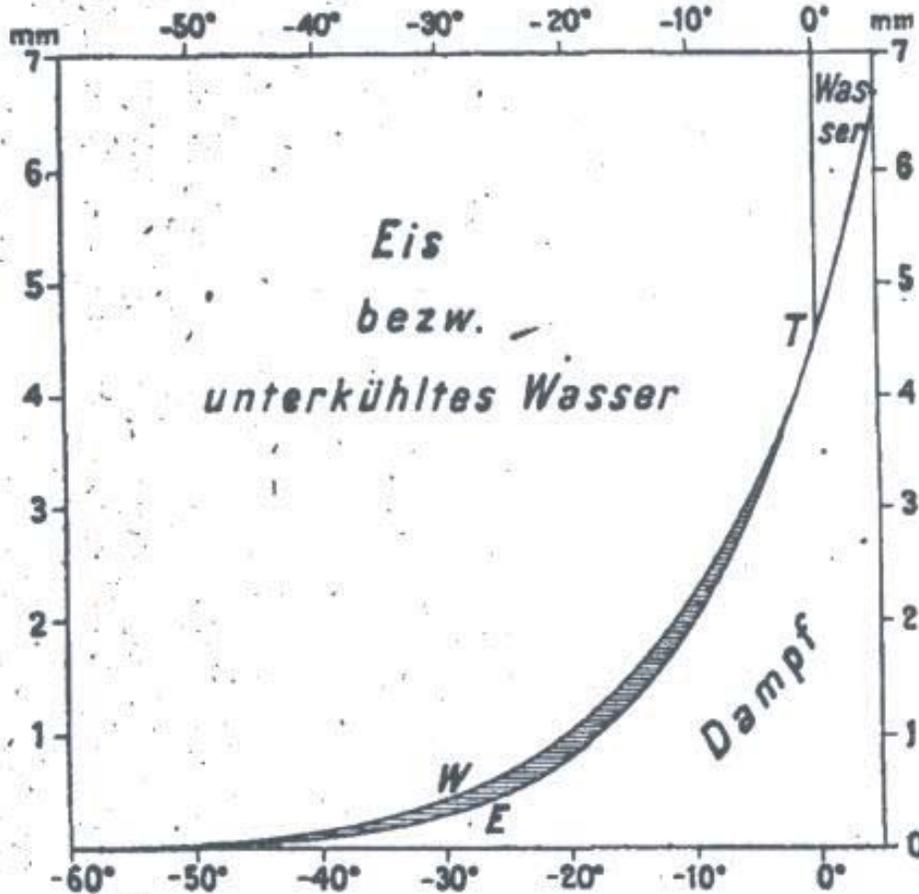
Considers pileus (if cold enough) to seed cumulus that rises into it.

# Frostübersättigung und Cirren.

Von ALFRED WEGENER. — (Mit einer Figur.)

Frostübersättigung. Unter den Zuständen des Wasserdampfes in der Atmosphäre gibt es einen interessanten Bereich, in welchem die Luft in bezug auf Eis übersättigt, in bezug auf unterkühltes Wasser aber noch ungesättigt ist. Wir wollen diesen Zustand, um einen kurzen Namen zu haben, als „Frostübersättigung“ bezeichnen. Im Roozeboomschen Zustandsdiagramm (s. Figur), in welchem der Dampfdruck nach oben und die Temperatur nach rechts abgetragen wird, liegt der Bereich der Frostübersättigung in dem schraffierten Raum zwischen der Gleichgewichtskurve der beiden Phasen Dampf und unterkühltes Wasser  $TW$  und der davon abweichenden Gleichgewichtskurve der Phasen Dampf und Eis  $TE$ . Diese beiden Kurven vereinigen sich einerseits im Tripelpunkt  $T$  des Wassers (Koordinaten  $e = 4,57$  mm,  $t = 0^\circ$ ), bleibt, wenn die Kerne fehlen, während die Kondensation  $TW$  darstellt, welche das Auftreten wesentlicher Eiskristalle gestattet. Die konventionell getroffene Auswahl ist daher

erheblich auf unterkühltes Wasser von oben her, eigentlich mit Bedeutung von dem, nur vor-

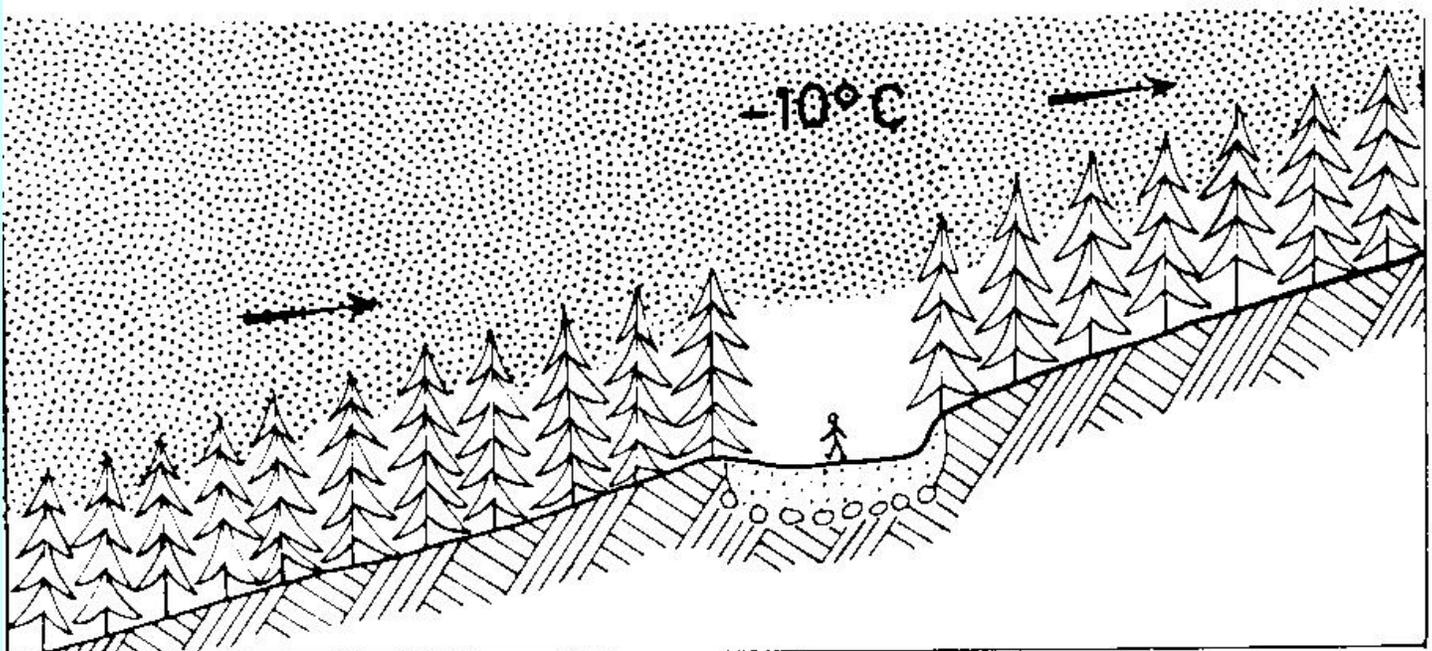
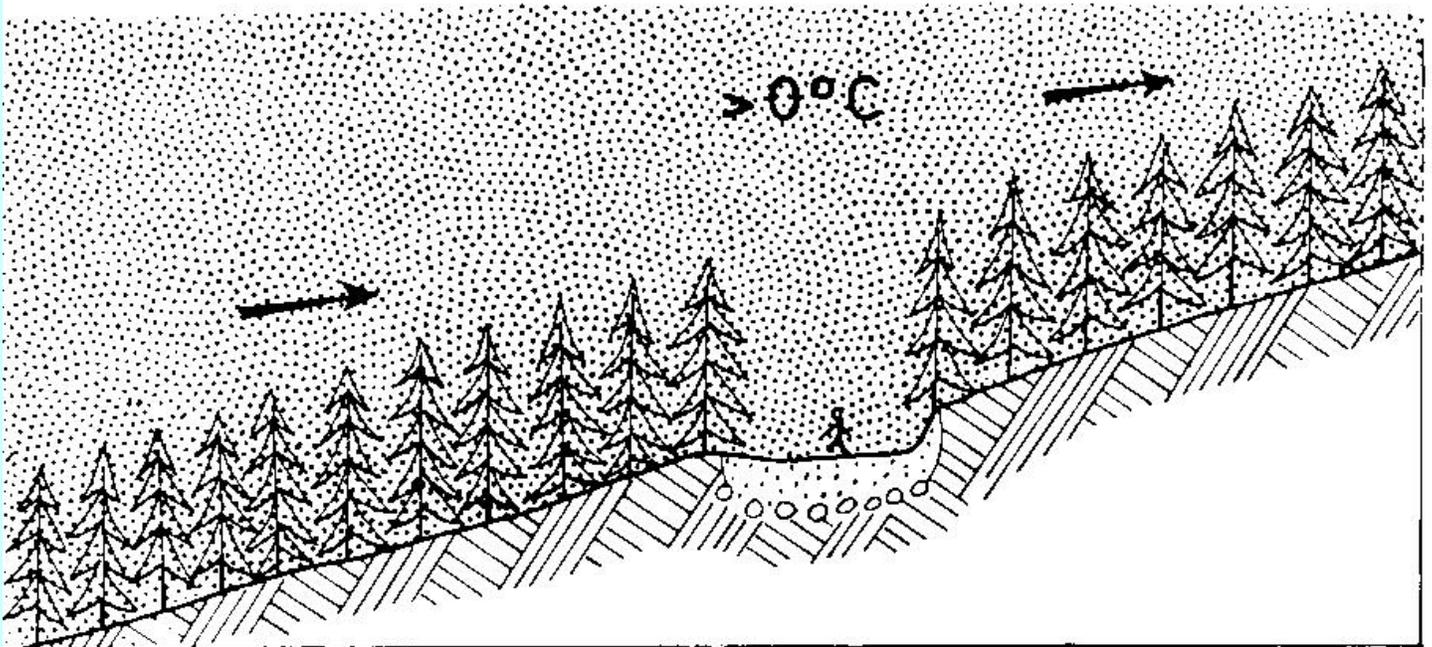


unknt der  
ecksilber  
also stets  
in bezug  
auf unter-  
Umstande.

ein, denn es findet ja nun wegen der Frostübersättigung an ihm statt.

nach der Temperatur bei folgenden relativen Feuchtigkeiten:

Wegener, 1920



Distribution du stratus observé en février 1922 dans la forêt de sapins de Voksenkolle d'Oslo, 470 m d'alt., à des températures  $> 0^{\circ}\text{C}$  et environ  $-10^{\circ}\text{C}$  respectivement. La partie ombrée représente la couche de stratus.

Proc. 5th Assembly U.G.G.I., Lisbon  
2, 156-175 (1935)

— 156 —

## ON THE PHYSICS OF CLOUD AND PRECIPITATION

by Dr. T. BERGERON, Oslo.

(Received June 1933.)

*Introduction.* The evolution of the theories on the physical and meteorological condition of the formation of cloud and their precipitation offers such a typical example of the "zig-zag progress" of science that an introductory retrospect seems worth while.

no means perform.

II. We have thus tried to show that none of the hitherto recognized factors of cloud coagulation represent the *universal* release of *real* precipitation (real rain and snow). Either the factor is only active at special times of the day (3), or under abnormal electric conditions (1b), or can only coagulate droplets of fog-dimensions or smaller, without causing few great drops (1a), (2), (4), or is ineffective on the whole as a *release* of coagulation (5).

6. Then there remains only one factor : neighbouring elements of different phase (i. e. some cloud elements liquid, some solid) at temperatures below the freezing point — an effect that seems to have been too little heeded hitherto, but which I hope to show is the chief one, physically and meteorologically.

We will first treat the more *physical* side of the question. A supercooled mixture of crystals and droplets must be colloidal-thermodynamically unstable, due to the difference of maximal vapour tension over ice and water at frost-temperatures. This difference  $\Delta e$  amounts to 0.24 mb at  $-10^{\circ}\text{C}$ , and is thus much greater than the corresponding differences due to the factors (1) or (2) above ever can get when  $d > 5 \mu$ . As to the factor (3), a  $\Delta e = 0,24 \text{ mb}$  corresponds to a  $\Delta t$  of almost  $1,0^{\circ}\text{C}$  at  $-10^{\circ}\text{C}$ ,

**"..... the difference in phase between neighbouring elements ... can in two cases occur without any considerable relative motions:**

**a) Berson, Wegener, Douglas and others have observed fogs consisting of droplets down to temperatures of  $-20^{\circ}$  or even  $-30^{\circ}\text{C}$ . ... In the air there will, however, probably be a small amount of such particles, which can gradually get into action as sublimation nuclei, as the temperature falls. ... Thus, to every temperature  $< 0^{\circ}\text{C}$  will correspond a certain probability of crystallization resp. a certain frequency of crystals within supercooled water cloud ...**

**b) ... layers above the isotherm of  $-10^{\circ}$  or  $-20^{\circ}\text{C}$  mostly contain ice crystals ..... ascending water cloud mass, protruding into this region, may then become infected by some crystals by trubulence ..."**

**" In our mixed or supercooled cloud the three phases ice, vapour and liquid water are in contact with each other through the vapour. ... the process of transporting the total water quantity of the droplets by molecular diffusion to the crystals would be achieved in 10 – 20 minutes."**

the stable layer clouds in the former picture.

*Conclusions.* — This cloud genetics and classification is only a first attempt. The International Cloud Year, I hope, will give us the necessary data to verify, modify or abandon it. But in any case it might perhaps serve as a base of discussion and help to tell us what we have to look for in the abundant material which has been collected now.

The historical display of the theories of cloud and rain have also shown us that theories, once completely done away with, may rise to great honour again, as for instance the „barometric fog” — revived by SWOBODA and me 1924, and now even exaggerated by GIÃO 1931 — and that an entirely one-sided theory is less helpful to our extremely complex science.

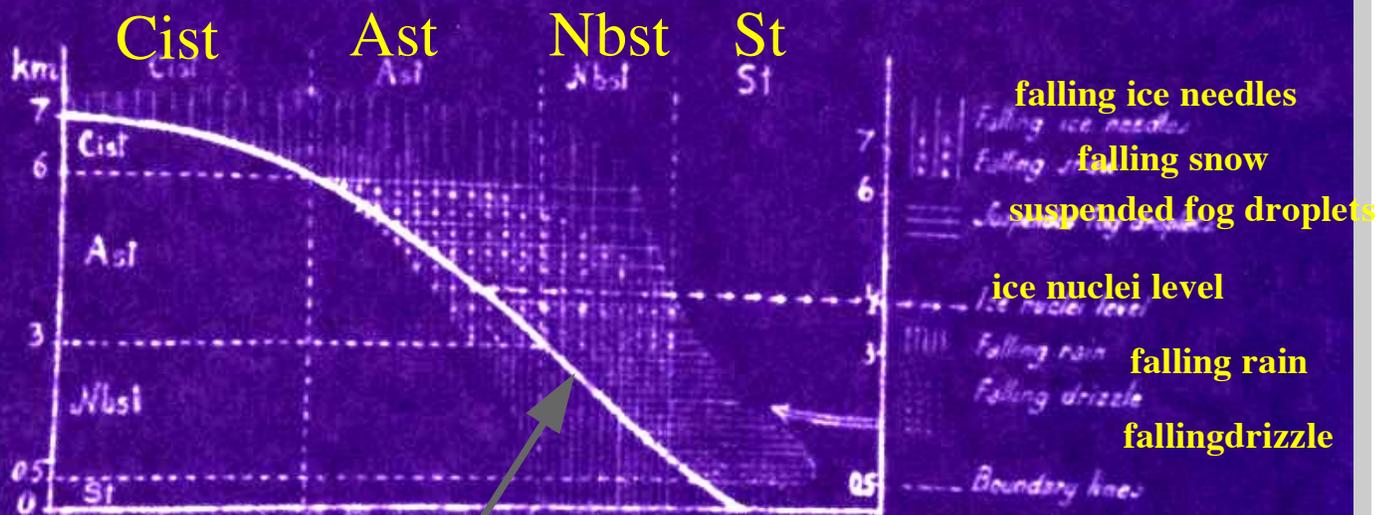


Fig. 1. — Scheme of cloud genetics.

front

**Bergeron, 1935** (paraphrased):

*In addition to other processes that can induce precipitation in colloidally stable clouds, a physically and meteorologically important process arises from the special situation at temperatures below 0°C created by the simultaneous presence of supercooled liquid and ice.*

As a consequence of this realization, it became imperative to look carefully at how ice particles originate, how many get started at various temperatures, how other factors (cloud type, etc.) might have importance.

# Forschungs- und Erfahrungsberichte des Reichswetterdienstes

Im Auftrage des  
Reichsministers der Luftfahrt und Oberbefehlshabers der Luftwaffe  
herausgegeben vom

Reichsamt für Wetterdienst

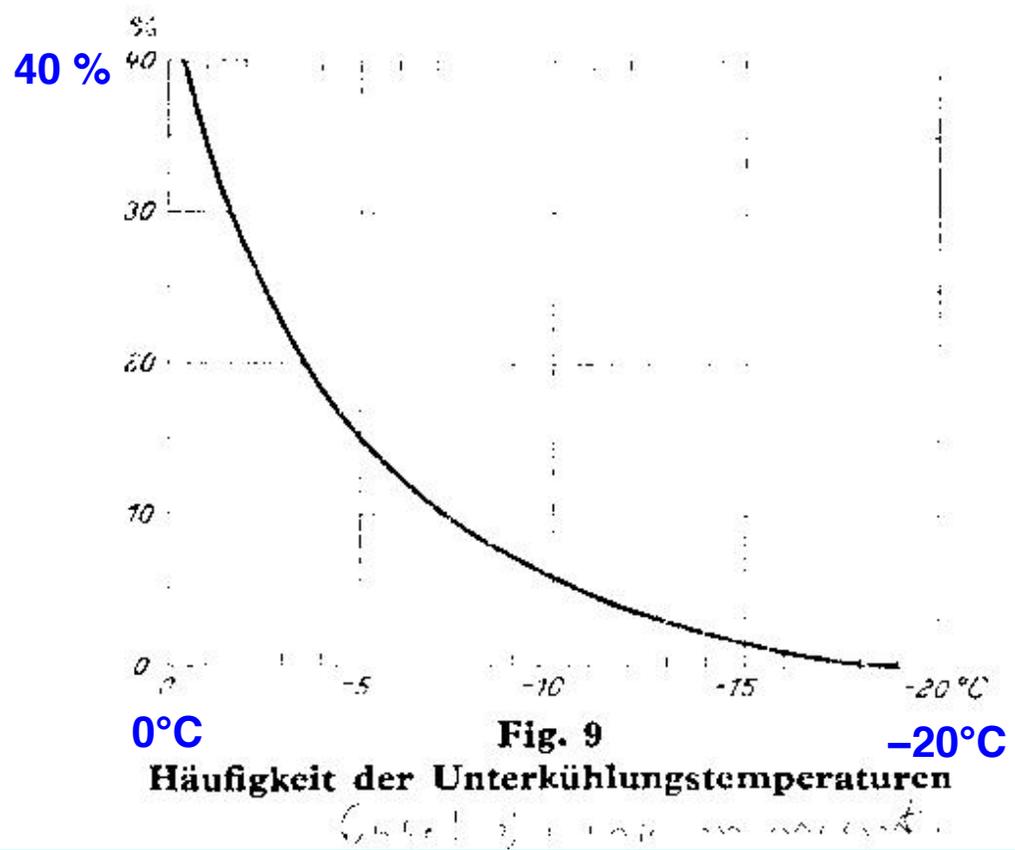
Reihe B, Nr. 1

## Unterkühlte Wasserwolken und Eiswolken

Von Wilhelm Peppler

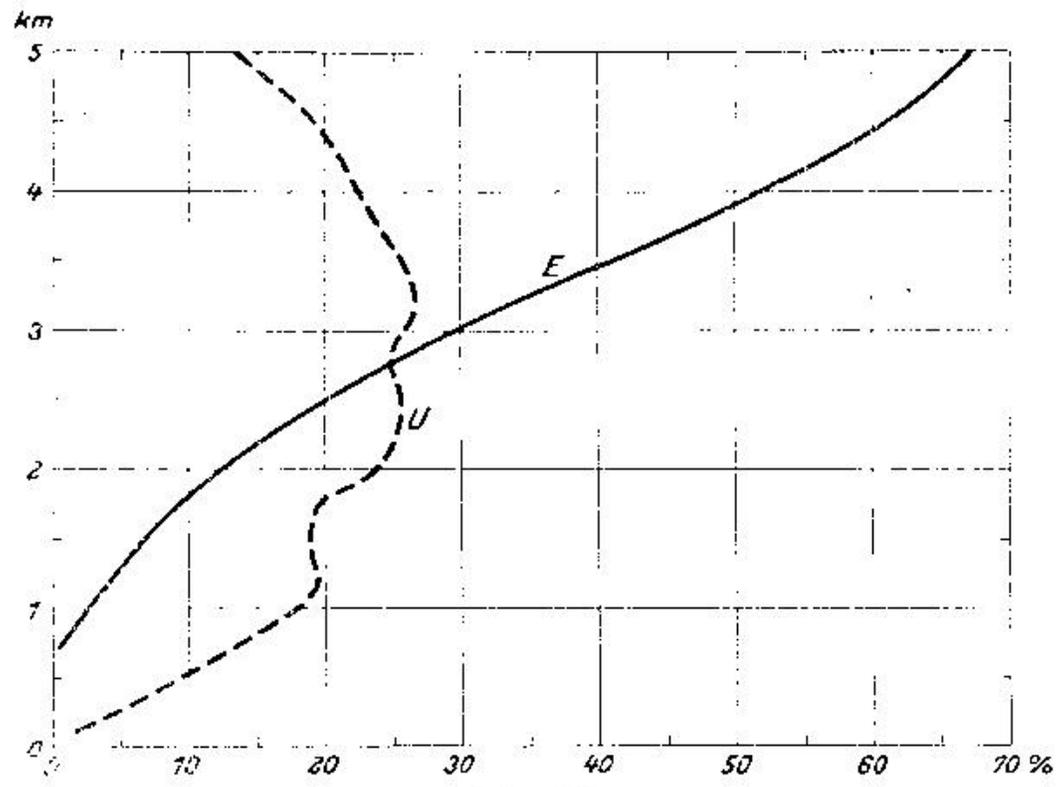
Berlin 1940 \* Gedruckt in der Reichsdruckerei

...kühlung für alle Aufstiege dargestellt; die Kurve



m, darüber  
re bis zur  
alhöhe. Un-  
verlässigkeiten  
Schichtungen  
in diesem  
tmittel  
hervor.

Fig. 12 ist  
Vorkommen  
wolken zu-  
n mit dem  
aterkühlten  
wolken im  
tmittel in  
igkeit von  
öhe darge-  
Während die  
keit des Vor-  
ms der Eis-



**Fig. 12**  
Vorkommen der Unterkühlungen und der Eiswolken

wolken von  
1 km Höhe a  
5 km stetig  
stark zunim  
auf fast 6  
zeigt die F  
der Häufigke  
Vorkommens  
unterkühlten  
serwolken  
wesentlich  
ren Verlauf,  
lich starke  
nahme vom E  
bis etwa 1  
dann lang  
Zunahme bis  
Maximum bis  
3 km, darüber  
ke Abnahme  
zur Maximal

Prüf. nr. 637

# Forschungs- und Erfahrungsberichte des Reichswetterdienstes

Im Auftrage des  
Reichsministers der Luftfahrt und Oberbefehlshabers der Luftwaffe  
herausgegeben vom

Reichsamt für Wetterdienst (Luftwaffe)

Reihe B, Nr. 8

Geheim!

INCLUDED IN R.P. ABSTRACTS



## Ergebnisse von Wolken- und Niederschlags- beobachtungen bei Wettererkundungs- flügen über See

Von W. Findeisen, Prag

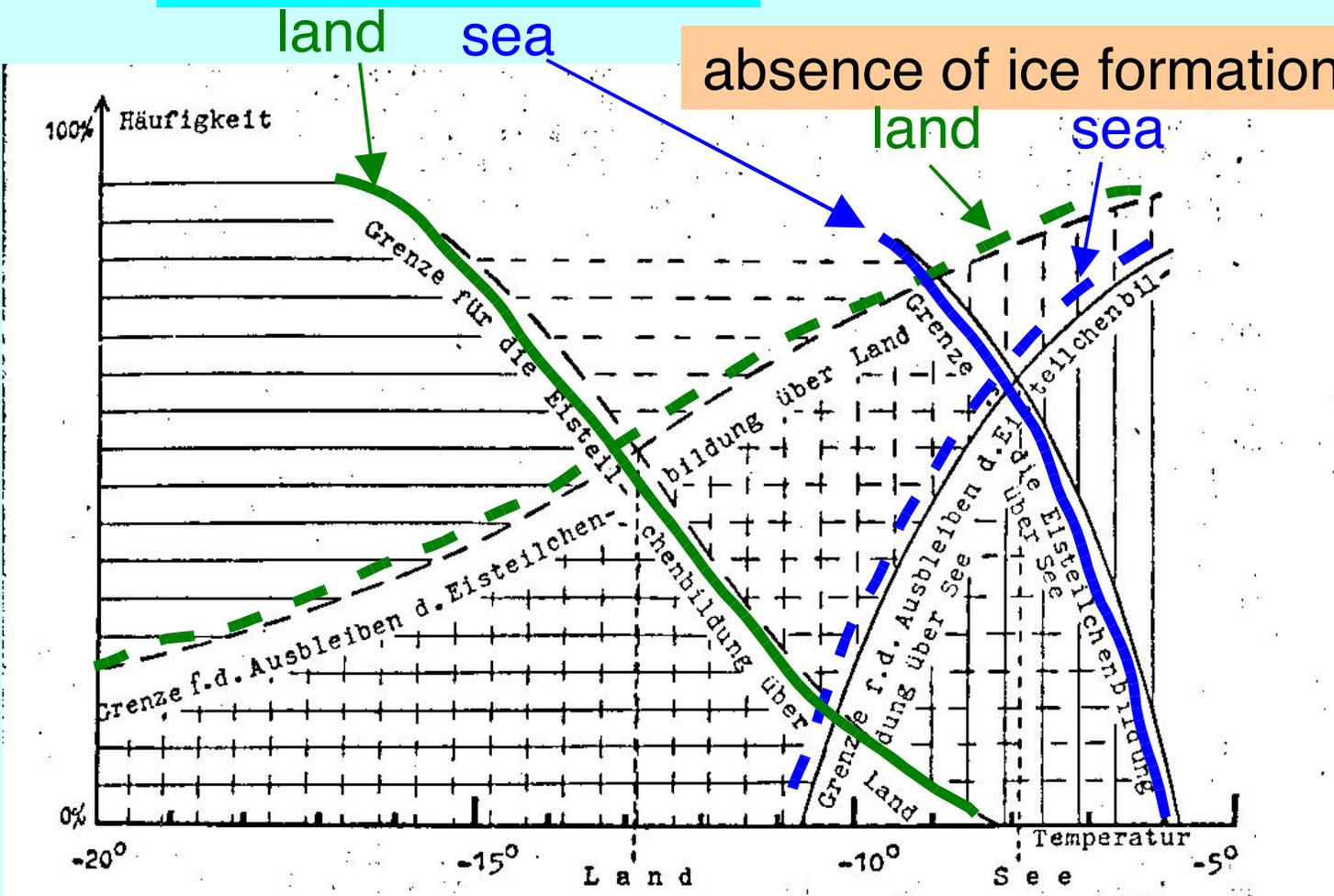


Berlin 1942 \* Gedruckt in der Reichsdruckerei

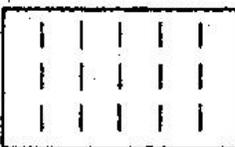
Findeisen, 1942

# onset of ice formation

# absence of ice formation



**Zeichenerklärung:**

	Eisteilchenbildung über See.		Eisteilchenbildung über Land.
	Keine Eisteilchenbildung über See.		Keine Eisteilchenbildung oder Mischwolken über Land.

--- = Grenzen für Landgebiete; — = Grenzen für Seegebiete

Quellwolkenstatistik nach Beobachtungen über Seegebieten;  
zum Vergleich Werte aus der Wolkenstatistik nach W. Peppler für Landgebiete.

Findeisen, 1942

## late 1930s

**Findeisen** (building on Wegener and Bergeron, and using observations of Pepler and of his own):

*Examined frequencies of supercooled clouds from soundings and the occurrence of ice from optical phenomena and found that ice clouds are frequent only at temperatures below  $-10^{\circ}\text{C}$  or even  $-20^{\circ}\text{C}$ .*

*Recognized that riming further accelerates the growth of ice crystals. Dependence on droplet size is speculated. Discussed the link to aircraft icing (translated by National Advisory Committee for Aeronautics).*

*Foresaw possibility of deliberately influencing precipitation due to scarcity of sublimation nuclei.*

The Wegener–Bergeron–Findeisen theory is now complete and is rapidly accepted. All of cloud physics revolves around two kinds of nuclei – *condensation nuclei* and *sublimation nuclei*, and the competition between them under various conditions (e.g. Findeisen, 1938). "Colloid–meteorology" to become a partner to "meteorology" and "aerology".

## 2. NUCLEATION PRULARITY.

up to late 1940s:

- Concept of germ formation - nucleation - is well known for variety of systems (Volmer, Krastanow, others).
- Freezing of water is studied in the laboratory (Dorsey, Rau)
- Weickmann (1942) reported little and very slow ice formation at  $T = -40^{\circ}\text{C}$  and  $S_w < 1$  on particles placed on a chilled mirror. Ice always formed at  $S_w > 1$ , in amounts depending on material tested.
- Cwilong, Fournier d'Albe found the same as Weickmann, and noted critical temperatures of  $-33^{\circ}$  for ice always forming, and  $-41^{\circ}\text{C}$  at which ice formation became abundant.
- Findeisen and Schulz (1944, Prague) used a  $2\text{-m}^3$  chamber with slow adiabatic expansion. Found very few sublimation nuclei. Water clouds formed after  $S_w > 1$  even at  $T < -30^{\circ}\text{C}$  with just a few crystals observed.

## 1940s

*aufm Kampe, Wall, Fournier-d'Albe:*

*Ice crystals in contrails and in fogs form only when water saturation is reached or exceeded; 'freezing nuclei' are needed.*

The hope (expectation) arose that nucleus measurements can quantify ice occurrence and can be used to predict ice initiation in clouds.

The possibility of cloud seeding seemed to have an ample and open window.

## late 1940s

Langmuir, Schaefer, Vonnegut (Project Cirrus)

Bowen :

**Cloud seeding tests show that supercooled clouds can be "glaciated" with the addition of AgI nuclei.**

**Confirmation of Bergeron's thesis. Start of many weather modification projects.**

Cwiling, Dorsey, Weickmann:

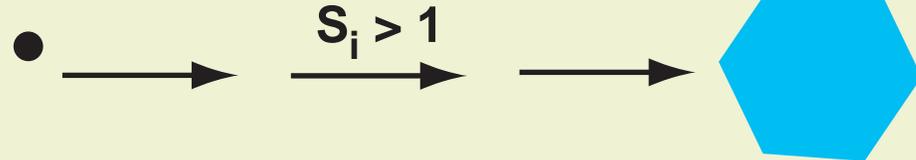
**Laboratory measurements of ice nuclei support or even explain the trend observed in clouds toward more ice at lower temperatures. Metals and metal halides are effective freezing nuclei.**

Nakaya, Kumai, Weickmann:

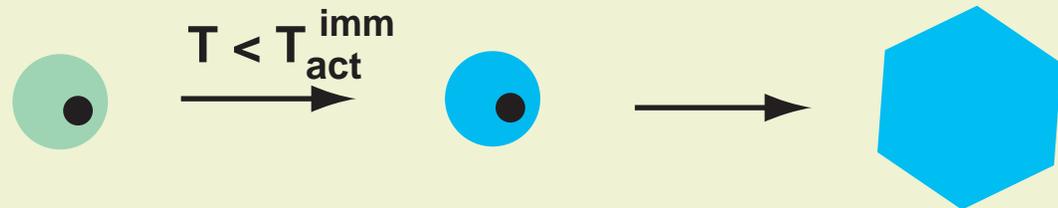
**Ice crystal form also varies systematically with temperature.**

# Principal ice nucleation modes

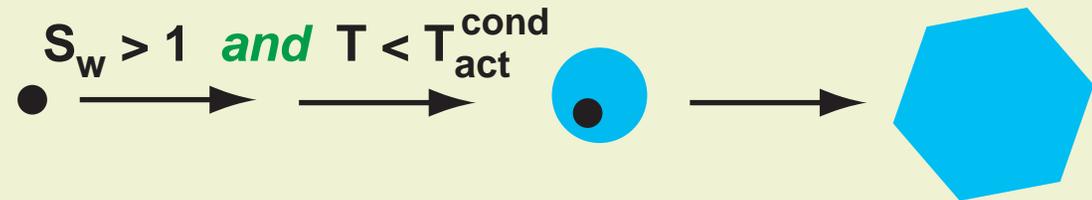
Deposition



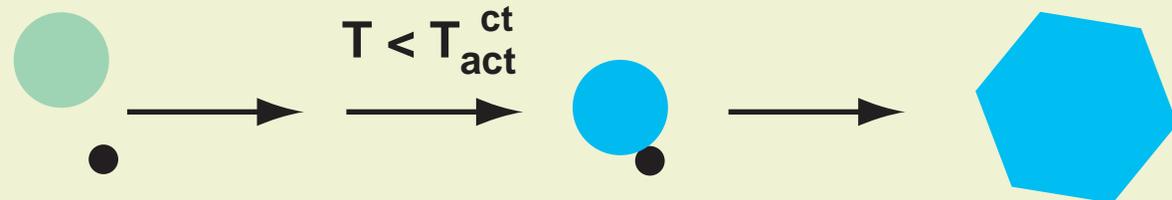
Immersion freezing



Condensation freezing

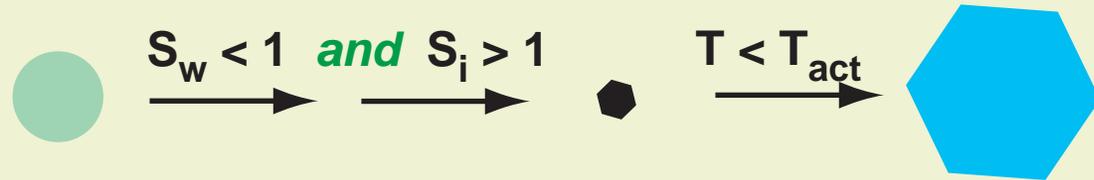


Contact freezing



## Some additional modes

Evaporation



Memory effect



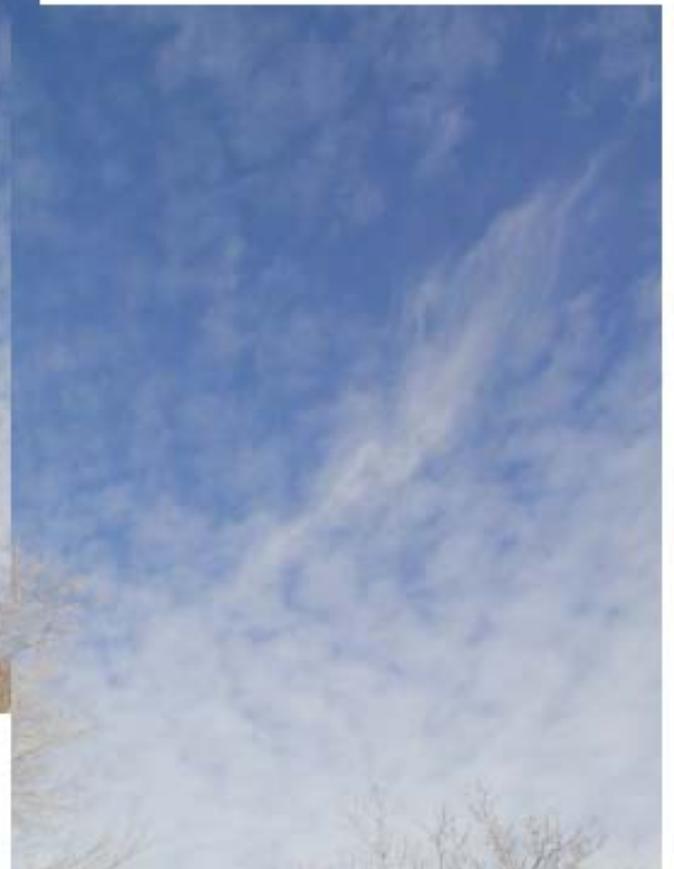
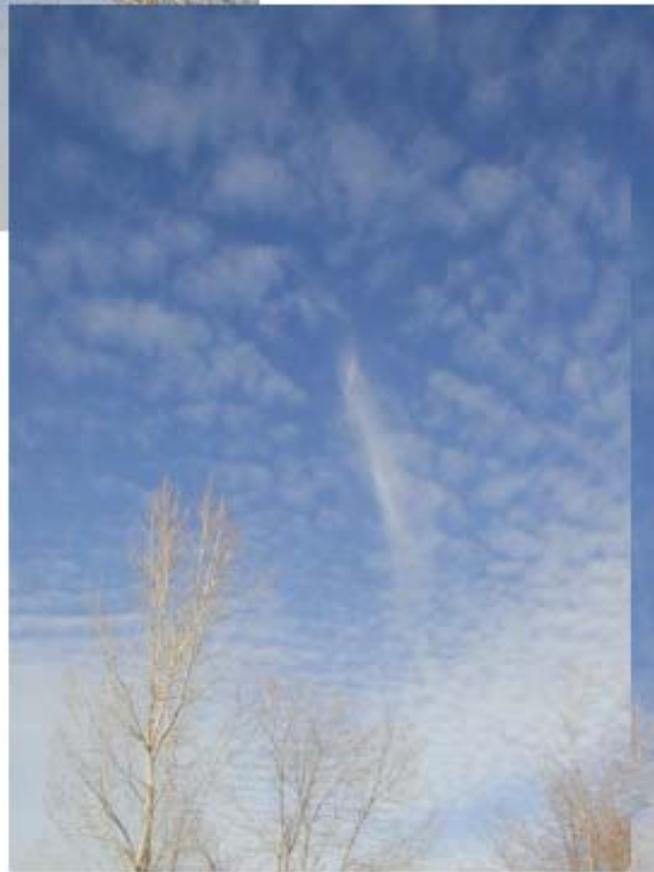
Haze freezing



???



**Boulder, January 11, 2002**



- **Only most basic of cloud processes can be simulated in laboratory chambers and samples are not fully representative.**
- **Prularity of nucleation modes (pathways) presents a complex instrumentation challenge.**
- **Reverse path: the examination of crystal residues, or the interpretation of crystal form (“droplet centers”), can offer some important distinctions, but never fully overcome the inherent ambiguity of the evidence.**
- **Shocks, cavitation, collisions, electric fields and discharges, ....**

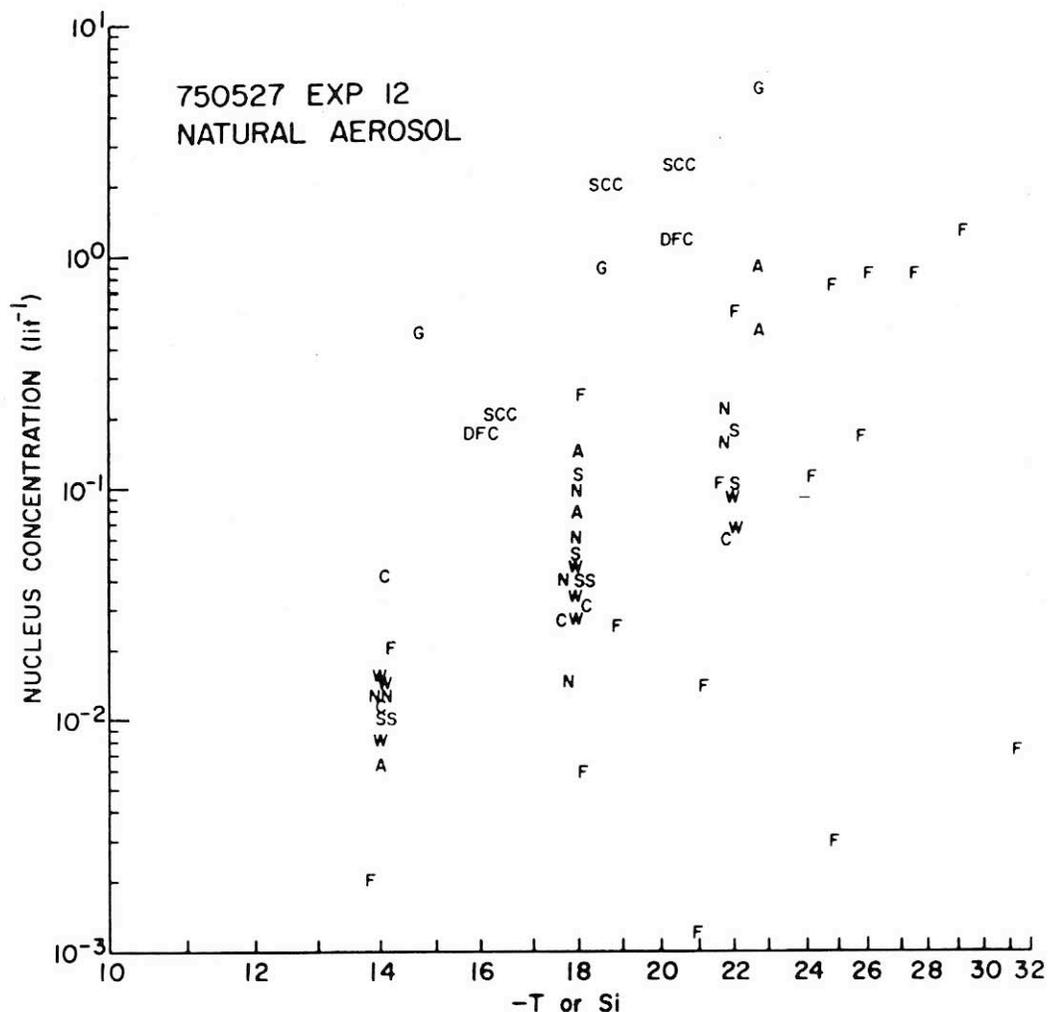


Figure 1. Nucleus concentrations measured by various instruments for natural aerosols in Experiment 12 (750527, 1400-1800). Data points are designated with symbols which are coded according to the instrument from which the measurement originated: A = Arizona low pressure chamber, F = Frankfurt low pressure chamber, C = NCAR continuous flow chamber, G = Gotz centrifuge with "puff" humidification, N = NOAA static diffusion chamber, S = SUNYA static diffusion chamber; W = Wyoming static diffusion chamber, DFC = Wyoming drop freezing counter, SCC = settling cloud chamber. The values on the abscissa are  $S_1$  supersaturation with respect to ice, except for the DFC and SCC for which the values are  $-T$  ( $^{\circ}\text{C}$ ).

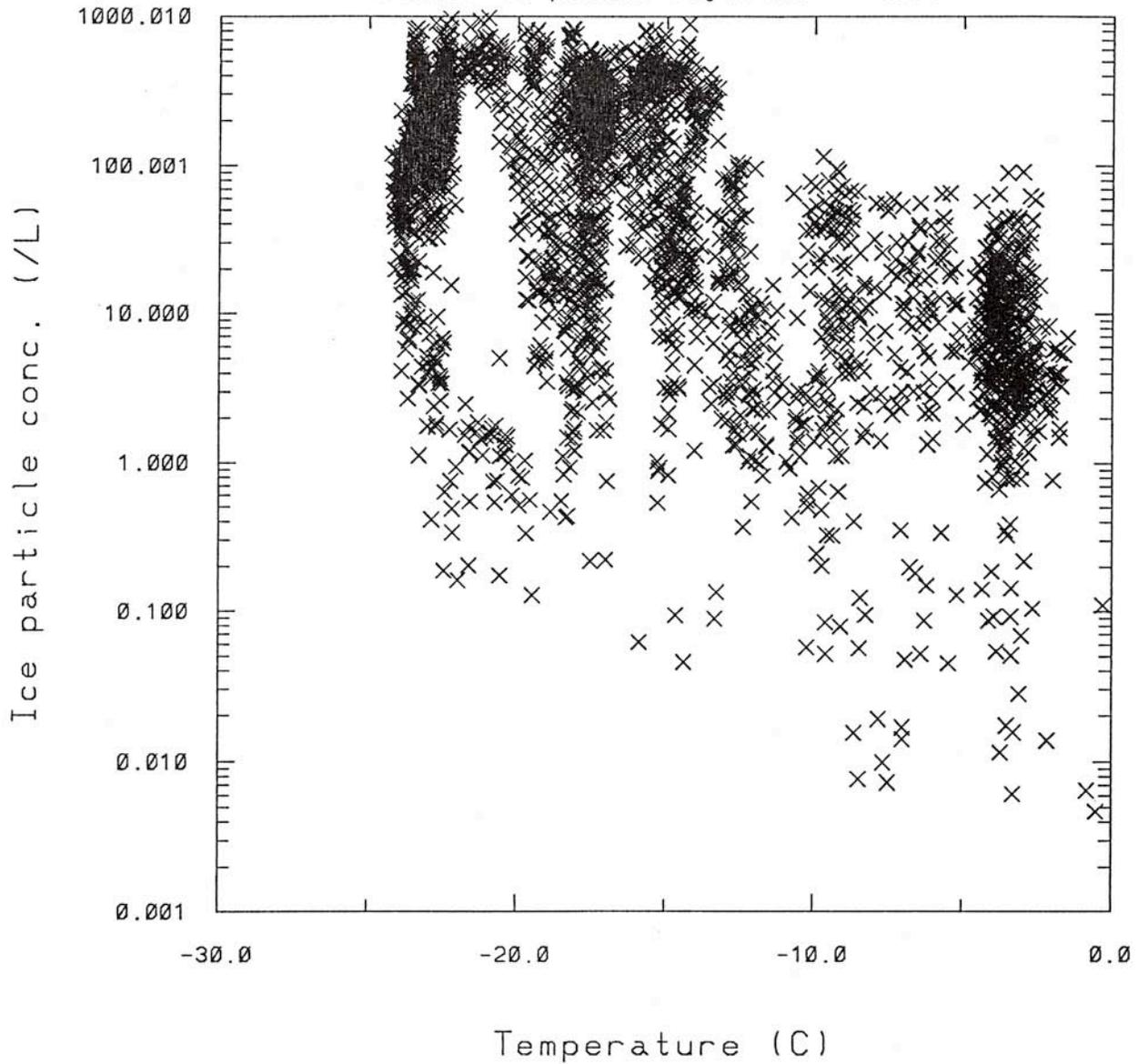
# UW KING AIR DATA

DATE: 900427 TIME INTERVAL: 090343-125914

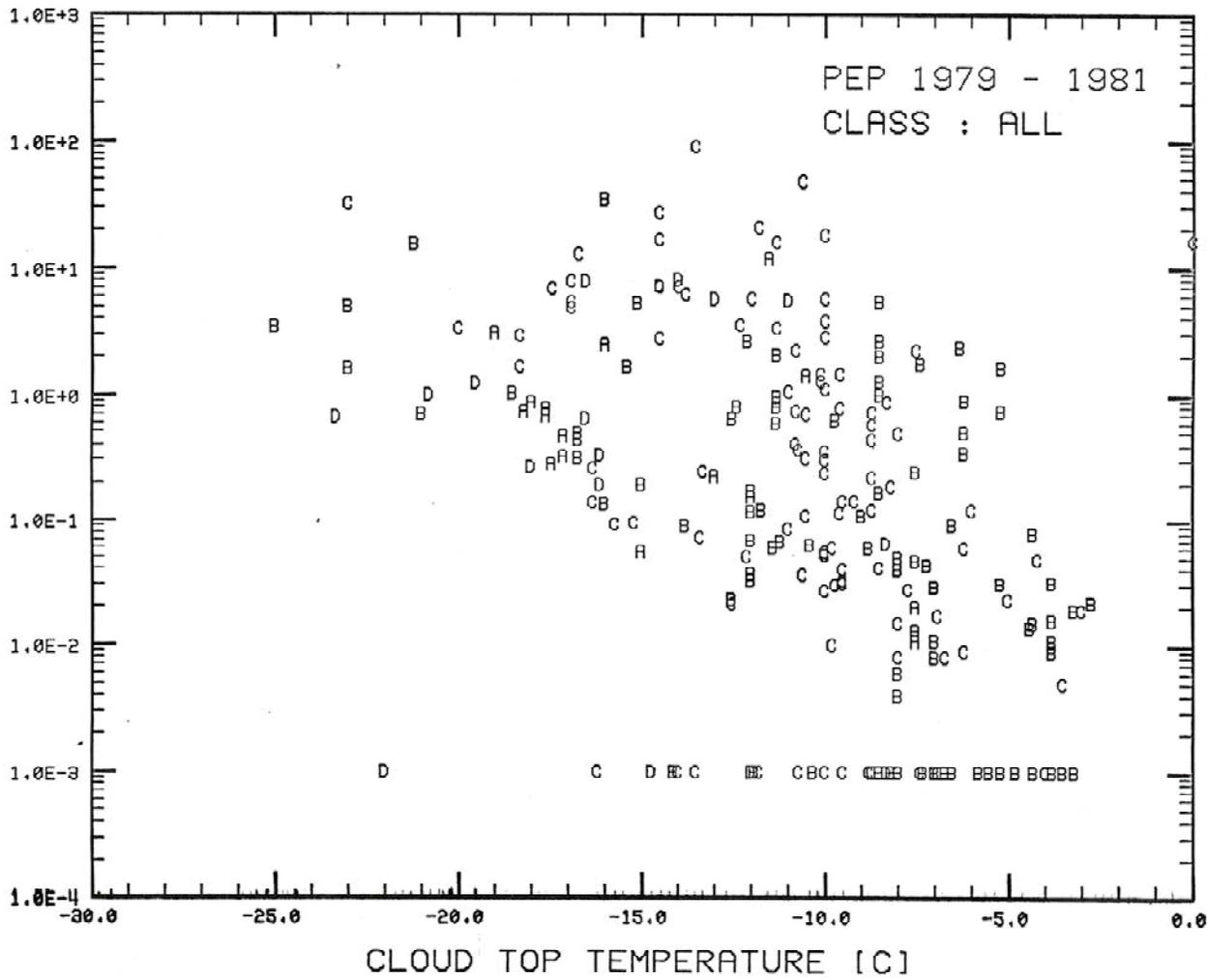
number of accepted points below and above range x: 0 2115  
y: 5024 4

number of points accepted: 9137  
number of points rejected: 4994

108 CONCIC

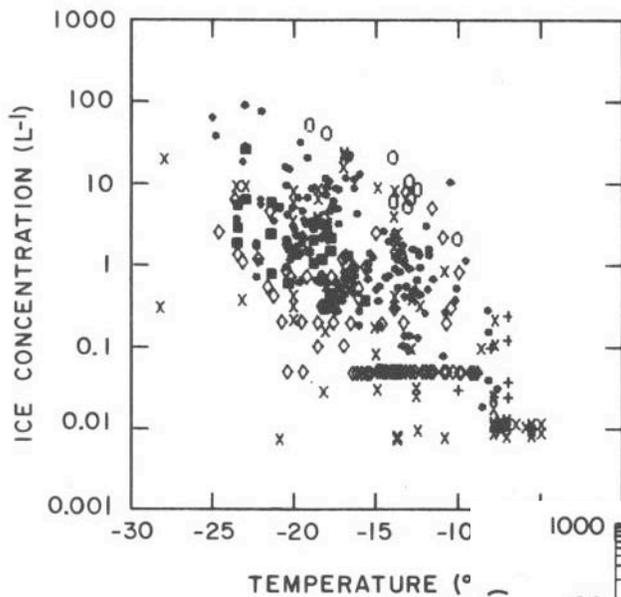


AVERAGE ICE CONCENTRATION [per liter]



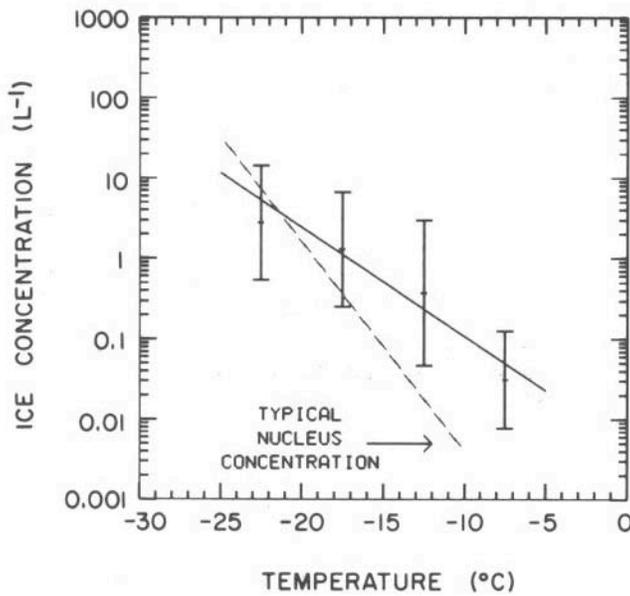
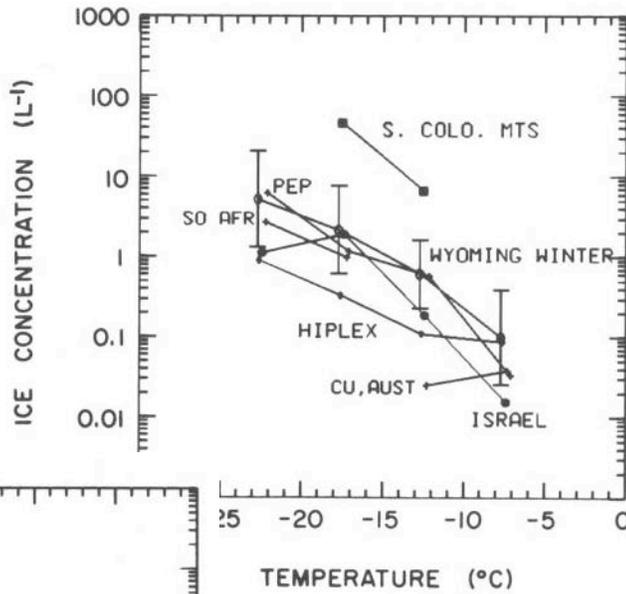
PLOT TESTS :  
CLOUD TYPE = 5  
REJECTION # ≤ 0  
CLOUD PASS ≤ 88  
NPTS = 250  
NREJ = 96

PROCESSED BY 'PLTOP' ON 8/10/83 AT 103857  
DATA FROM FILE ICETP1



**Cooper, 1986**

for cases where ice concentration can be attributed to nucleation





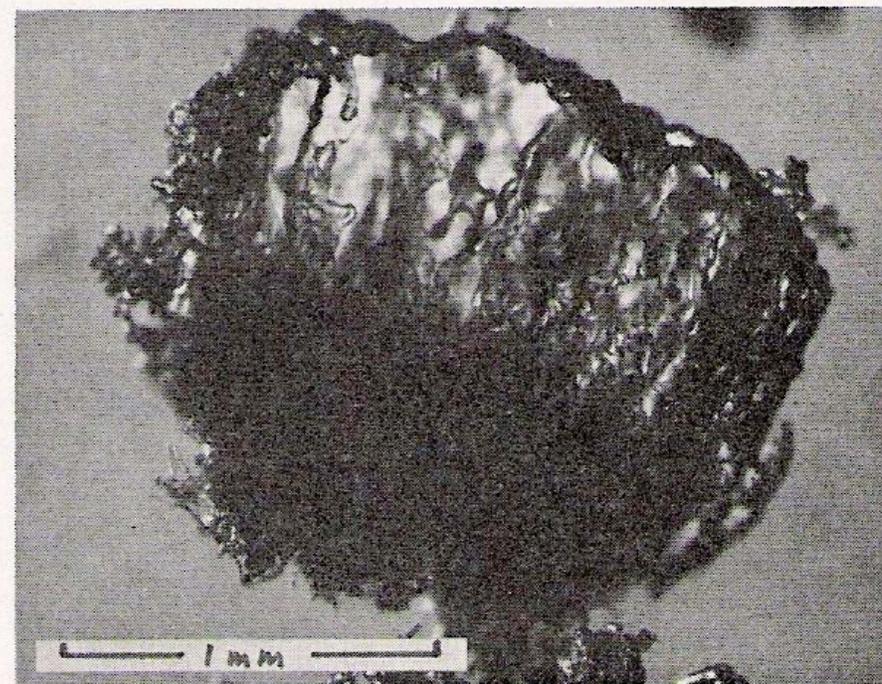
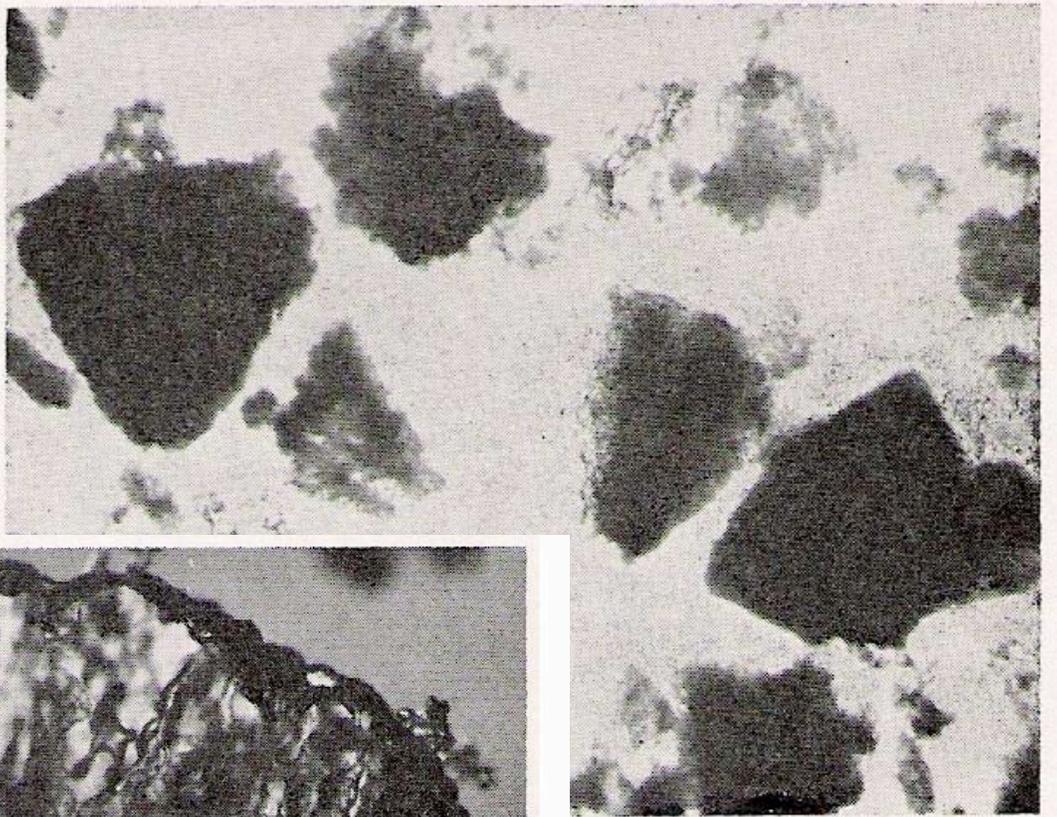
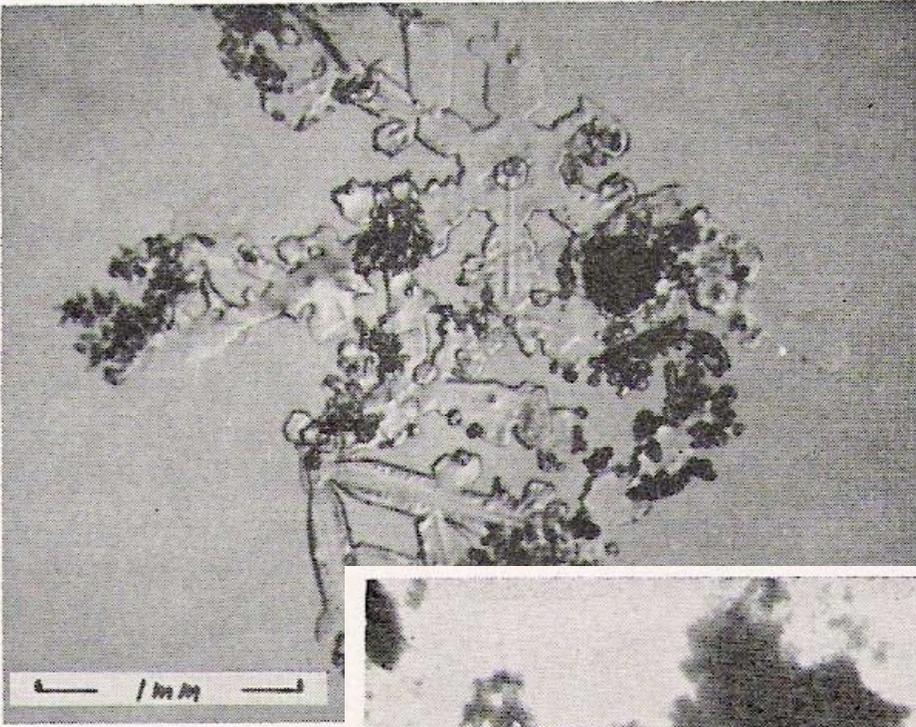
### **3. THE GRAUPEL STORY.**

- **The importance of riming has been recognized from Wegener and Findeisen on, but primarily in connection with snow.**
- **Focus on hail damage reduction led to studies of riming in more detail.**

## NE Colorado summer *Cu con.*

- No evidence for coalescence process.
- Particles collected in clouds (sailplane) are graupel. Often observed at the ground as well.
- Evidence for prior vapor growth is infrequent but has been found.
- No large frozen drops centers in hail.
- First echo heights are above 0°C level, or are at melting band. Rough quantitative agreement between measured echo intensity and reflectivity calculated for observed graupel sizes and concentrations.

Knight et al., 1974



Knight, 1974

**Observations consistent with the Knight et al. conclusions were obtained by Krauss et al. in S. Africa, and perhaps others.**

**The main question remaining is the origin of the ice crystals, or frozen cloud droplets, that start the graupel growth.**

## 4. THE MULTIPLICATION SUCCESS.

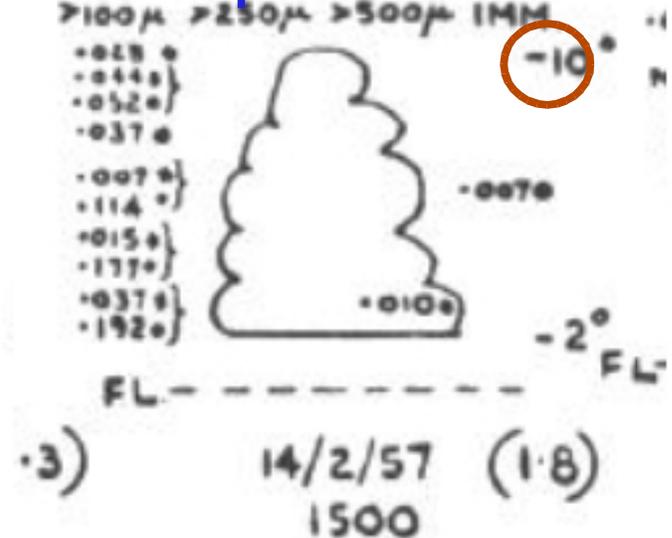
### *Prologue:*

By the mid-fifties, the importance of ice particles to precipitation formation is well accepted and the search is on to discover how many ice particles get initiated, and how, in different cloud types in relation to other parameters like liquid water content, droplet concentration, etc.

20–90 per litre



0.04–0.1 per litre



small, isolated Cu, southern England  
foil impactor

"It has been suggested that the explanation lies in some process of self-multiplication (e.g. splintering) by which a large number of ice crystals could build up from very few parent crystals."

Murgatroyd and Garrod, 1960

TABLE 1. Summary of data obtained—Project Whitetop, Mo., 1961.

Date	Type	Cloud description		Number pellets	Freezing temperatures	
		Top height	Top temp.		warmest	median
20 June	two Cu	21, & 25,000	-15 & -22C	22	-23 C	-25 C
1 July	Cu	19,000	-10	26	-20	-23
2 July	As	15,500	-7	63	-19	-24
7 July	Cu	19,000	-9	13	-24	-26
14 July	Cu	unknown	unknown	8	-23	-24
1 Aug	Cu	19,500	-9	110	-16	-24
9 Aug	Cu	20,000	-11	53	-16	-26
22 Aug	As-Ac	unknown	unknown	5	-23	-25
		(collection temp. -8)				
30 Aug	Cu shelf	22,000	-15	1	-21	-21

**Ice pellets captured via a tube leading into the aircraft, when melted and refrozen are found to freeze at temperatures 6–10°C colder than the cloud top temperature. This casts doubt on freezing nucleation as the cause of ice initiation.**

**Hoffer and Braham, 1962**

**Koenig (1963) concludes that some chain reaction process, propagated by the formation of satellite ice particles during the solidification of water drops, may be responsible for the observed concentrations of ice particles much in excess of nuclei concentrations.**

# -6°C cloud top

JANUARY 1963

L. RANDALL KOENIG

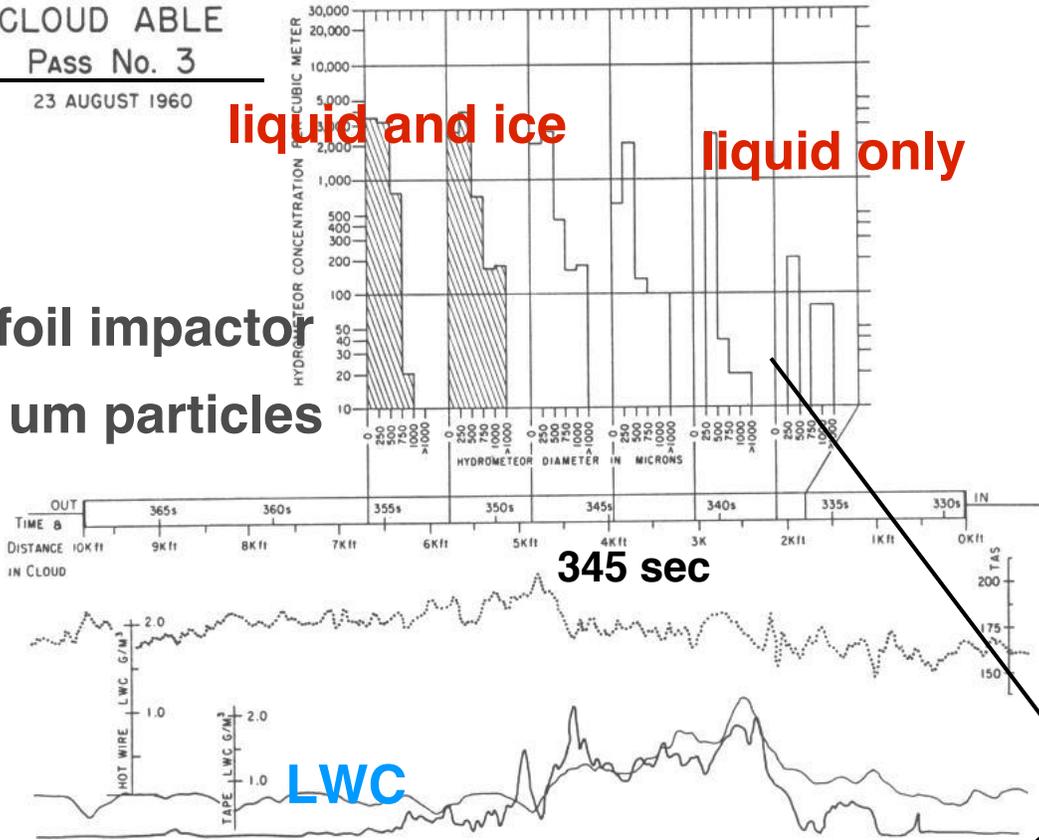
39

CLOUD ABLE  
Pass No. 3  
23 AUGUST 1960

liquid and ice

liquid only

lead foil impactor  
>250 um particles



CLOUD ABLE  
Pass No. 4  
23 AUGUST 1960

all ice

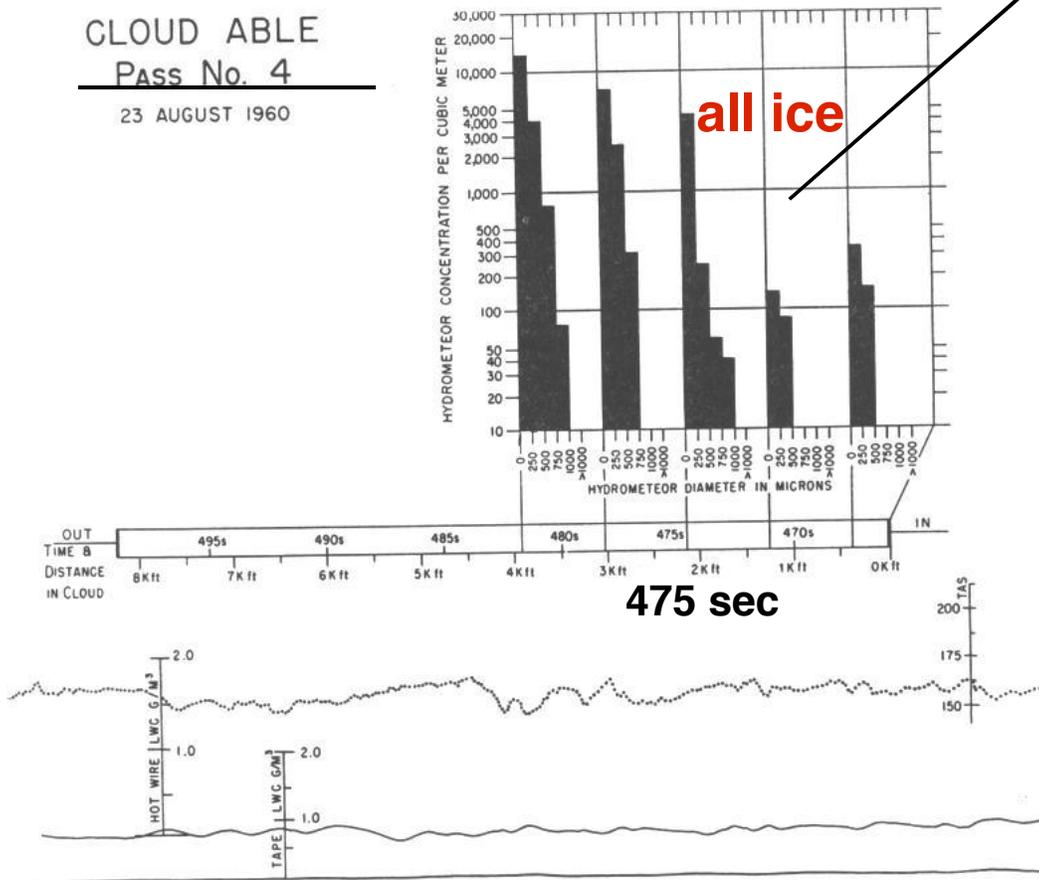


FIG. 7. (Continued from preceding page.)

Koenig, 1963

meter of the original drop; and 2) the shat- by the chloroform) near the replica. A  $400 \mu \text{m}$  t

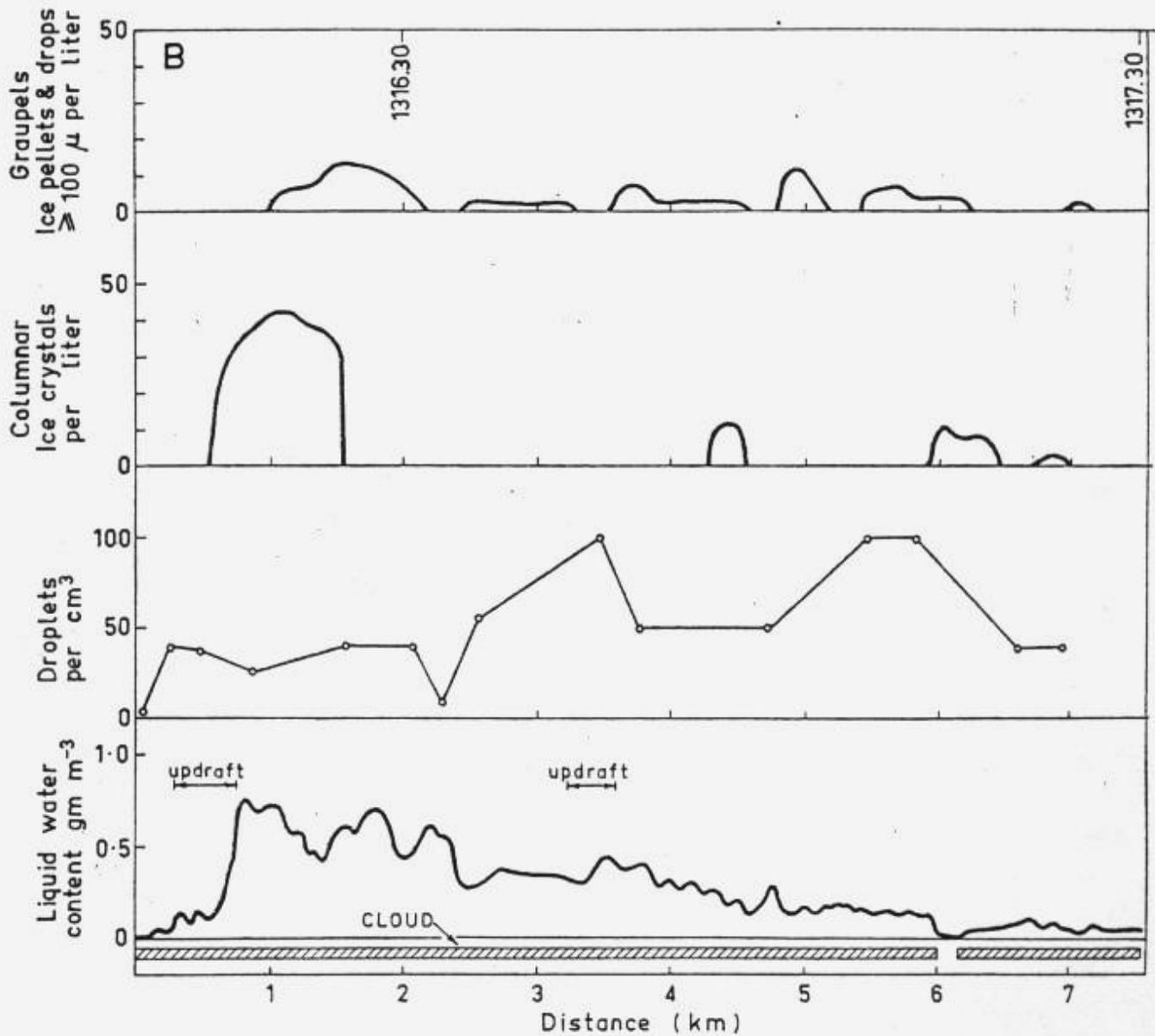


FIG. 4. Measured cloud and particle parameters, Pass B, Constellation aircraft, altitude 2.0 km (6600 ft), 1316.10–1317.30.

Measured ice nucleus concentrations much lower.

Pre-activation of nuclei

Accumulation of crystals in time.

Multiplication: splinters from drop freezing or electric effect associated with riming (both controversial).

Mossop, Ruskin and Heffernan, 1968

100 L<sup>-1</sup>

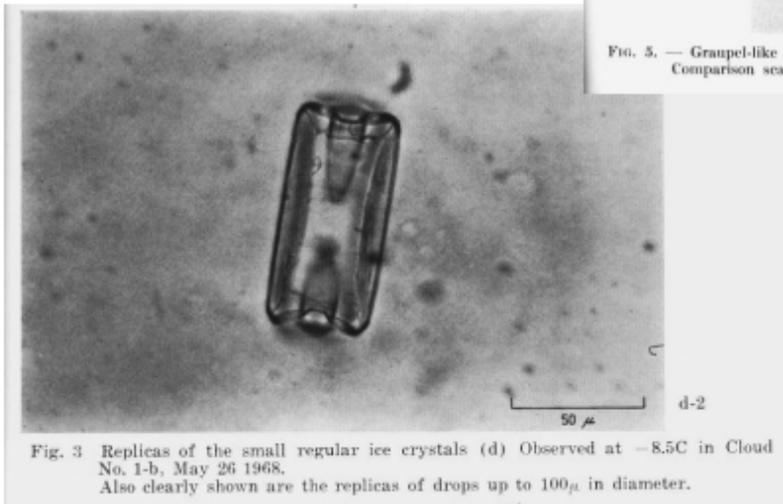
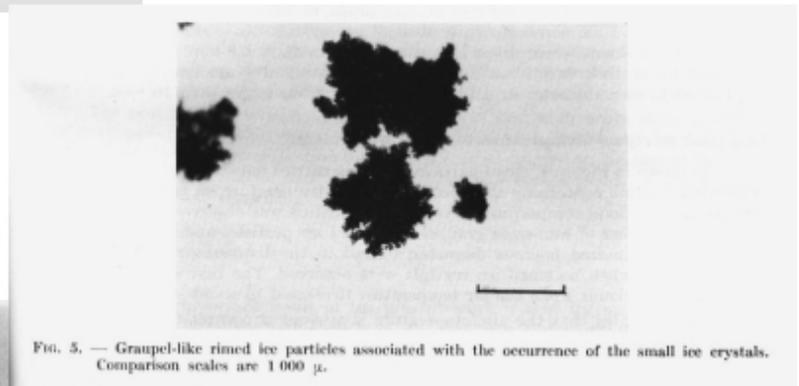
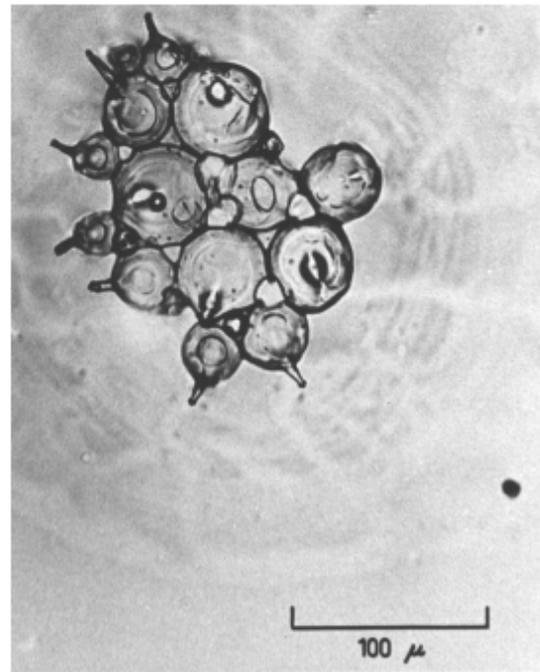
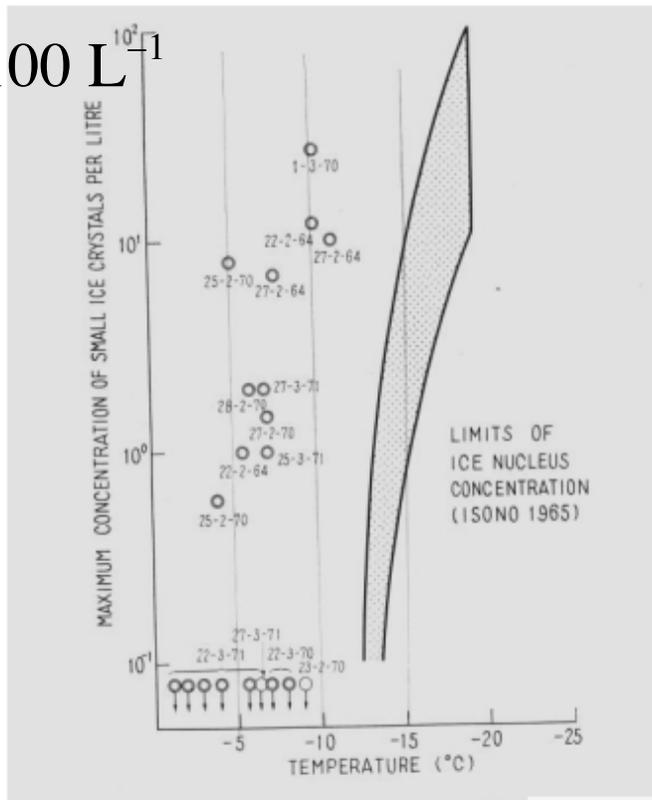


Fig. 3 Replicas of the small regular ice crystals (d) Observed at  $-8.5^{\circ}\text{C}$  in Cloud No. 1-b, May 26 1968. Also clearly shown are the replicas of drops up to  $100\mu$  in diameter.

FIG. 5. — Graupel-like rimed ice particles associated with the occurrence of the small ice crystals. Comparison scales are  $1000\mu$ .

In the temperature range  $-4$  to  $-10^{\circ}\text{C}$ , high concentrations of small columns are found in association with graupel.

Ono 1971, 1972

and rimes in its turn, there should be no difficulty in provid-

number of secondary particles per mg rime

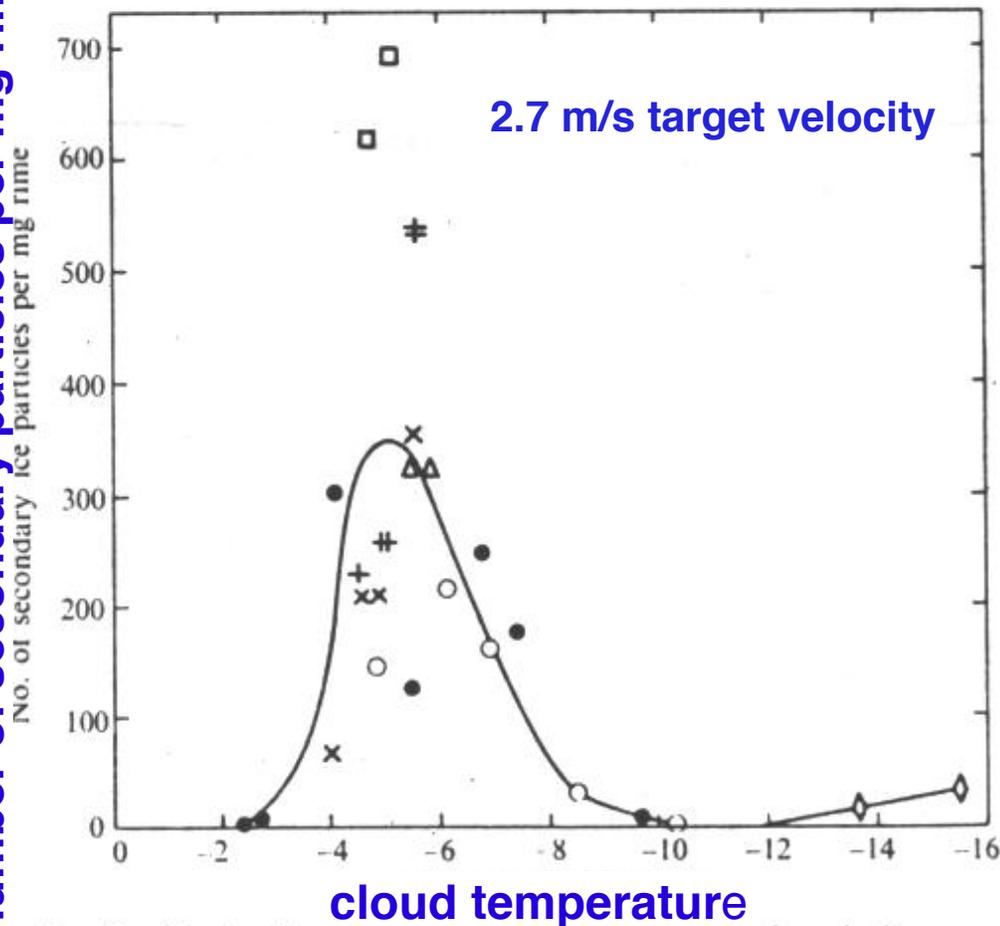


FIG. 2 Production of secondary ice particles by riming as a function of temperature at a target velocity of  $2.7 \text{ m s}^{-1}$ . Different symbols indicate different days. The curve was drawn by averaging the points over narrow temperature intervals.

Hallett & Mossop, 1974

crystals produced per second

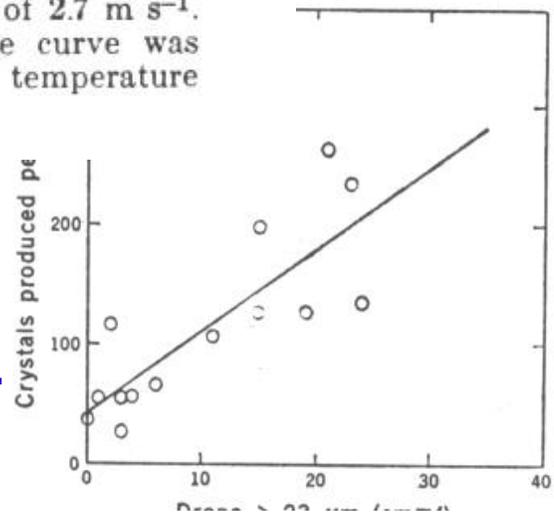


Fig. 1 Crystals produced per second plotted against the concentration of drops larger than  $23 \mu\text{m}$  in diameter in the cloud through which the riming rod was moving. The temperature was  $-4.7^\circ\text{C}$  and the riming rate was approximately the same in all experiments. The line of best fit by the least-squares method is shown.

$-4.7^\circ\text{C}$ ; riming rate roughly the same

**Rime–splintering story wrapped up:**

**Hallett, Sax, Lamb and Murty (1978) in Florida demonstrate the graupel to needle sequence.**

**Harris–Hobbs and Cooper (1987) in Montana show quantitative agreement between the rate of generation of secondary particles and predictions based on laboratory findings.**

## Other secondary ice generation processes:

Hobbs & Farber, 1972 – crystal fragmentation

Vali, 1980 – rime fragmentation

electric effects, shock waves .....

*There is ample evidence for unexpectedly high ice concentrations in many situations where the Hallett-Mossop mechanism is not active.*

## 5. CLOUD SEEDING PROSPECTS:

- Creation of numerous ice crystals in supercooled clouds is clearly possible. This confirms the basic Bergeron concept, and is consistent with the paucity of ice nuclei of comparable activity to those of the artificial nuclei.
- Precipitation on the ground is not a sure consequence of the creation of more ice crystals at some point in the cloud. This can be due to insufficient cloud volume getting seeded, the timing of seeding not being optimal, other processes out-competing the ice created by seeding, etc.
- The interplay of cloud seeding with studies of ice initiation in undisturbed clouds is beneficial.
- Industrial, or other well-defined sources, which create cloud glaciation deserve more attention.

## 6. OTHER ISSUES:

- **Ice frequency vs. cloud type and other parameters** is depicted by a large body of observations, but there are few repeated and generalizable patterns. Even definitions vary a great deal.

Schemenauer and Isaac

Nevzorov

Rangno and Hobbs - OSCIP

- **Phenomenology** of ice particles is also well advanced.

- **Cloud dynamics** frames all ice initiation studies.

- **Aerosol physics and chemistry**, and perhaps air chemistry, provide useful characterizations of cloud input, but forward links to ice formation are tenuous at this point.

## CHALLENGES AND RESPONSES (from the observational point of view)

- May be still missing some fundamental process of ice nucleation. Theories are of limited help at this point. → **Aerosol physics and chemistry. Laboratory work.**
- Time lapse between nucleation and an observable result (measurable ice particle) introduces the ambiguities of growth history through imperfectly known condition and cloud motions. → **Cloud physics framework.**
- Conditions at cloud/clear air interface are difficult to define on the scale that may be determinant for ice nucleation. → **Finescale observations.**
- Distinction between primary and secondary generation mechanisms may be hard to draw in some cases. → **Clarification of secondary processes.**