Aerosol Synthesized to Imitate Particles Produced by Combustion: Cloud Condensation and Water Uptake Properties

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- 18 Abstract

19 Four cloud condensation nuclei (CCN) instruments were used to sample size-selected test 20 particles prepared at the Leipzig Aerosol Cloud Interaction Simulator (LACIS) facility. Included 21 were two Wyoming static diffusion CCN instruments, the continuous-flow instrument built by 22 Droplet Measurement Technology and the continuous-flow LACIS instrument. The aerosols 23 were composed of ammonium sulfate, levoglucosan, levoglucosan and soot, and ammonium 24 sulfate and soot. The goal of the study was two-fold: 1) To characterize, and compare, the water 25 uptake and water nucleation properties of particles designed to mimic those produced by 26 combustion, and 2) to compare a relatively larger number of measurements reported by four CCN instruments and a humidified tandem differential mobility system (27 experiments). The 27 28 CCN comparison is quite encouraging - with few exceptions the CCN instruments reported 29 critical supersaturations which agreed within the known uncertainties. Values of the CCN- and 30 hygroscopicity-based estimates of the volume fraction also agree but for this aspect of the study 31 the experimental uncertainties are larger and hence the agreement is not as impressive. We also 32 analyze the Droplet Measurement Technology and Wyoming CCN measurements for evidence 33 of size distribution broadening.

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34 1-Introduction

35 Aerosol emitted into the atmosphere from combustion, and their effect on cloud properties and precipitation, has been the subject of research for several decades. A classic 36 37 example is the observation of clouds contaminated by smoke emissions from sugar cane fires 38 (Warner and Twomey, 1967). An analysis of that data set helped to solidify theory connecting 39 aerosol abundance to both cloud reflection of solar radiation (cloud albedo) and precipitation 40 (Twomey, 1977). Those achievements, and the many others that have followed, are now 41 codified in the recognition that the combustion of both biomass and fossil fuel is one of the 42 dominating sources of atmospheric particulate matter. This dominance is evident in the 43 consideration given to particles generated by combustion in global aerosol models (Textor et al., 44 2006).

45 As is the case for all aerosol types, connecting the size and concentration of combustion 46 particles to their effect on cloud albedo and precipitation requires additional information. Most 47 revealing is the fraction of the dry particulate volume occupied by hygroscopic substances; 48 material which promotes water uptake at relative humidities below saturation. In addition, 49 hygroscopic materials also impact visibility (McMurry and Stolzenburg, 1989) and direct aerosol 50 forcing of climate (Boucher and Anderson, 1995). Both hygroscopic and nonhygroscopic 51 materials are recognized as significant components of particulate emitted from combustion 52 sources (Andreae et al., 1988; Lightly et al., 2000).

53 Here we report on laboratory studies which used four cloud condensation nuclei (CCN) 54 measurement systems, and an aerosol hygroscopicity measurement system, to characterize the 55 condensed products of combustion. These studies, hereafter referred to as the Leipzig 56 Experiment in November (LExNo), employed an apparatus which thermally condensed either 57 ammonium sulfate or levoglucosan onto particles composed of soot. We report on 58 measurements of the volume fraction of the particulate matter which is hygroscopic. The 59 synthesized particles are thought to approximate particles emitted directly into the atmosphere 60 from biomass burning (soot-levoglucosan particles) or that result from atmospheric processing of 61 particulate derived from fossil fuel combustion (soot-ammonium sulfate particles) (Stratmann et 62 al., 2009). Also investigated were particles composed solely of either ammonium sulfate or 63 levoglucosan; analysis of these experiments allowed us to quantify CCN measurement error and 64 the ability of three of the CCN instruments to retrieve the width of the test particle size

distributions. Our analysis of the LExNo data set is underlain by a robust, but simple, Köhler
theory model which is used to calibrate the supersaturation in the CCN chambers, to derive the
volume fraction of hygroscopic material and to quantify error propagation between

68 measurements and derived quantities.

Other papers reporting on LExNo include the description of the particle generation
apparatus and overall LExNo results (Stratmann et al., 2009), the analysis of aerosol chemical
composition and hygroscopicity measurements (Henning et al., 2009) and the analysis of particle
density and morphology (Kiselev et al. 2009).

73 2-CCN Instruments

74 Descriptions of the CCN instruments used during LExNo are published and will not be 75 repeated here (Stratmann et al., 2004; Roberts and Nenes, 2005; Snider et al., 2006). However, 76 we will discuss, and contrast, the physics underlying the production of supersaturated conditions 77 in these instruments, the duration of time that aerosol is exposed to supersaturated conditions and 78 the methodology used to relate the operational state of a CCN instrument to the occurrence of 79 heterogeneous cloud droplet nucleation. Throughout this paper we will refer to this nucleation 80 process as "activation." Figure 0 shows schematics of the three devices. The Copenhagen and 81 Laramie groups both operated a Wyoming static diffusion CCN instrument (top panel), while the 82 Leipzig and Mainz groups used the LACIS (bottom left panel) and the Droplet Measurement 83 Technology (bottom right panel) continuous-flow CCN instruments, respectively.

84 In Figure 0 the vectors indicate the direction and magnitudes of the sensible and latent 85 heat fluxes. For the static diffusion instrument, it is assumed that the fluxes are uniform in space 86 (non-divergent) and that lateral wall effects are negligible. It is also assumed that fluxes do not 87 vary with time (steady state assumption, see Katz and Mirabel, 1975). The uniform and steady 88 flux assumptions lead to solutions of the one-dimensional heat and vapor mass diffusion 89 equation. There are three important characteristics of this solution: 1) the temperature profile (in 90 the vertical dimension) is approximately linear, 2) the vapor partial pressure profile is 91 approximately linear (Katz and Mirabel, 1975), and 3) the saturation vapor pressure profile is 92 non-linear. These characteristics lead to the quasi-parabolic supersaturation profile illustrated in 93 Figure 0. The center of the chamber is illuminated with a laser and scattering produced by the 94 activating particles is detected and related to CCN concentration via a calibration (Gras, 1995; 95 Delene and Deshler, 2000; Snider et al., 2006).

96 Within the cylindrical Leipzig and Mainz flow tubes a relatively narrow aerosol stream is 97 confined, by sheath airflow, to the tube centerline. This is in contrast with the situation in the 98 static diffusion instrument where the aerosol sample is at rest and occupies the whole volume of 99 the chamber. Focusing on the energy transport occurring at the tube centerline, and also 100 perpendicular to it, the modelling study of Stratmann et al. (2004) demonstrates that the Leipzig 101 instrument produces divergent fields of sensible and latent heat flux. From the LACIS inlet to 102 about 20% downstream the aerosol stream experiences decreasing temperature, in response to 103 the sensible heat flux divergence. Cooling drives an increase in relative humidity and this 104 increase occurs in spite of the divergent latent heat flux. The magnitudes of the latent and 105 sensible heat flux divergence do diminish with downstream distance and this is a consequence of 106 the relaxation of the properties of the flow to the uniform boundary condition imposed by the 107 tube wall. The model also shows that the maximum chamber supersaturation is produced 108 approximately halfway down from the top of the tube. This relative humidity maximum 109 increases with increasing humidity of the aerosol stream entering the top of the tube and with 110 decreasing wall temperature. In practice, the former is held constant by a humidifier positioned 111 upstream of the tube entrance.

112 Focussing now on the schematic of the Mainz instrument, we note that the latent and 113 sensible heat fluxes are convergent along the tube centerline. This means that the aerosol flow 114 stream is both heated, and humidified, as it moves down the tube. Two other aspects of the 115 Mainz instrument are different from LACIS. First, there are three tube heaters positioned at the 116 top (heater #1), the waist (heater #2) and bottom (heater #3) of the flow tube. These heaters, and the heater control system, are designed to produce the condition $T_1 < T_3 < T_2$ such that the 117 118 topmost streamwise-temperature gradient, between heaters #1 and #2, is greater than the gradient 119 between heaters #2 and #3. Second, the tube walls are wetted with a water handling system, 120 which differs from the situation inside LACIS, where the tube wall is wetted by condensation. In 121 the Mainz instrument the supersaturation along the tube centerline is predicted to reach a 122 maximum slightly above the waist of the tube and below that point a smaller supersaturation is 123 achieved. A similarity between Mainz and LACIS is the prehumidification that occurs prior to 124 the entry of aerosol stream into the flow tube.

125 Time-dependent predictions of the chamber supersaturation, along the tube centerline 126 (Mainz and Leipzig instruments) and halfway between the parallel plates of the Laramie

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127 chamber, are presented in Figure 1. The computational methods used to generate these profiles 128 are described in Stratmann et al. (2004) (Leipzig instrument), in Saxena et al. (1970) (Laramie 129 instrument) and in Subramanian (2009) (Mainz instrument (HOW TO REFERENCE A WEB 130 PAGE?)). Clearly the duration of time corresponding to the occurrence of the maximum 131 chamber supersaturation differs over a broad range with the smallest value in the Leipzig CCN 132 and the largest in the Laramie CCN. It is difficult to say if this relevant to LExNo, but we do 133 speculate that an overprediction of the activating supersaturation of pure levoglucosan particles 134 may stem from the relatively short time interval that the Leipzig and Mainz instrument expose 135 the aerosol to the maximum chamber supersaturation (section 7).

136 **3-Experimental Methods**

The purpose of this section is to describe aspects of the LExNo experimentation germane to the CCN studies, including the preparation of the test aerosols, the calibration of the maximum chamber supersaturation, the measurement of the test particle's hygroscopicity and the supersaturation scanning strategy employed by investigators from Wyoming, Copenhagen and Mainz. A complete description of the LExNo setup can be found in Stratmann et al. (2009).

142 **3.1-Particle Synthesis**

143 Two different particle synthesis techniques were employed during LExNo: 1) Spray 144 atomization of ammonium sulfate/water solutions, or levoglucosan/water solutions, followed by 145 aerosol drying, charge neutralization, size selection and dilution. The mobility-equivalent dry 146 diameter of the size-selected particles was varied between 35 and 95 nm (Vienna-type 147 electrostatic classifier, 1-to-10 aerosol to sheath flow ratio). We refer to the two resulting 148 aerosols as "pure ammonium sulfate" and "pure levoglucosan." In some experiments the test 149 particle concentration was measured with a Model 3010 and a Model 3025 (TSI, Inc) 150 condensation particle counter (CPC) and in some experiments only a Model 3010 CPC was 151 employed. Hereafter, we will refer to the CPC concentration measurement as "CN." 2) The 152 second particle synthesis technique involved the thermal condensation of either ammonium 153 sulfate, or levoglucosan, onto soot particles produced by spark discharge. Between the soot 154 generation step, and the thermal condensation step, the option of compacting the soot particles by 155 exposing them to 2-propanol vapor was exercised in some of the experiments. In either case the 156 particles were size-selected in an electrostatic classifier before, and after, the thermal 157 condensation step. The particles that were extracted from the second electrostatic classifier

158 (Vienna-type electrostatic classifier, 1-to-10 aerosol to sheath flow ratio), at a mobility

- 159 equivalent diameter equal to 84 nm, were diluted prior to continuous monitoring by a Model
- 160 3010 CPC, a Model 3025 CPC and the CCN instruments. For particles prepared by either

161 technique we assume that the measured mobility equivalent diameter approximates their mass

- 162 equivalent diameter. The former was established in the second Vienna-type electrostatic
- 163 classifier.
- 164 **3.2-Calibration of the Maximum Chamber Saturation**

165 Accurate determination of the maximum supersaturation achieved in the CCN chambers is an important objective of this work. This objective is challenging because thermal gradients 166 167 are known to exist between the location where temperature is measured, within the wall of a 168 CCN chamber, and the interior of the chamber (Snider et al., 2006; Lance et al., 2006). 169 Furthermore, even if the air temperature and the H_2O vapor density could be measured at the 170 location within the chamber where the supersaturation maximizes, measurement error can 171 propagate into an unacceptable error in the derived supersaturation (Chýlek and Wong, 1998; 172 Snider et al., 2006). We overcome these impediments by challenging the instruments with size-173 selected ammonium sulfate test particles of size sufficient to produce activation, and by 174 correlating a surrogate representation of the maximum chamber supersaturation with the critical supersaturation of the activating particles^{\dagger}. The former is formulated in terms of static chamber 175 176 wall temperatures (Katz and Mirabel, 1975; Snider et al., 2006), in terms of the LACIS wall 177 temperature (Stratmann et al., 2004; Wex et al., 2006) and in terms of the stream-wise wall 178 temperature gradient (Roberts and Nenes, 2005; Lance et al., 2006). We refer to the correlation between SS_c and the surrogate as the "chamber supersaturation calibration." Section 4 179 180 describes the Köhler theory model, which is integral to the calibration, Table 1 presents the 181 outcome of those calibrations and Sections 5.1 and 5.2 discuss that result. 182 **3.3-Hygroscopicity Measurements**

- 182 **3.3-Hygroscopicity** Measuremen
- 183Data from the high humidity tandem differential mobility analyzer (HH-TDMA)
- 184 described by Hennig et al. (2005) was also analyzed. With one exception, discussed in Section
- 185 6.4, the HH-TDMA data was acquired simultaneous with the LExNo CCN measurements. The

[†] The supersaturation at the critical point SS_c , expressed in percent units, is defined in terms of the fractional relative humidity at the critical point (RH_c) by the relationship $SS_c = 100 \cdot (RH_c - 1)$.

- 186 fractional relative humidity of the measurements was typically 0.98 but varied from experiment
- to experiment by 0.002 fractional *RH* units.

188 **3.4-Supersaturation Scanning Strategies**

Each LExNo experiment lasted approximately 1 hour and for most of the duration of the testing intervals the four CCN instruments and the HH-TDMA sampled the test aerosol. Different supersaturation scanning strategies were employed with the supersaturation step equal to 0.01 *SS* units for the Copenhagen and Mainz instruments, and no smaller than 0.02 *SS* units for the Wyoming instrument.

194 **4-The Köhler Model**

195 Here we describe the development of a Köhler model which is capable of reproducing the 196 prediction of an exact model, but which is also flexible enough for both the chamber 197 supersaturation calibration and our analysis of the LExNo data set. Theoretical rigor is necessary 198 since we use the Köhler model in analyses of activation and also in analyses of equilibrium water 199 uptake. These objectives necessitate an accounting of the non-ideality of water-solute solutions 200 at RH = 0.98 and also at the Köhler curve critical point where, in spite of the larger degree of 201 solute dilution, the behavior of water-solute systems can depart substantially from ideality. Our 202 other requirement is that the model can be initialized with either dry particle size or with relative 203 humidity, and yield the coupled property without recourse to interpolation. On this point we 204 note that the Aerosol Interaction Model (AIM, Wexler and Clegg, 2002) calculates solution 205 composition corresponding to a prescribed relative humidity, but does not compute the relative 206 humidity above a solution of prescribed composition. While this can be accomplished with a 207 lookup table or by curve fitting we desired a simpler approach. A similar deficiency is seen in 208 the model developed by Snider et al. (2003) which computes wet particle diameter corresponding 209 to a prescribed relative humidity and dry particle size, but not the dry particle diameter 210 corresponding to a wet particle diameter and a relative humidity. As we will see, the 211 requirements of rigor and flexibility lead to approximation, yet we evaluate the extent of these 212 limitations and show that they are small in comparison to measurement error. 213 COMMENT FROM MARKUS – THIS JUSTIFICATION IS NOT ADEQUATE, AND THERE 214 IS NOTHING NOVEL IN THE EQUATIONS WHICH ARE PRESENTED BELOW. I AM 215 THINKING ABOUT THAT CRITICISM.

216 **4.1-The Working and Exact Köhler Models**

217 Recognizing the demands of the chamber supersaturation calibration, and the LExNo 218 analysis, we developed a Köhler model via the following path. We start with an exact 219 formulation of the Köhler curve and use it to calculate relationships among RH, wet particle diameter (D_w) and dry particle diameter (D_d) for RH both below and at the Köhler curve 220 221 critical point. Once established, the exact model is used to tune a parameter in the "working 222 Köhler model." It is the working Köhler model that we exercise in the chamber supersaturation 223 calibration and in analysis of the CCN and hygroscopic growth measurements performed during 224 LExNo.

In the exact Köhler formulation we employ the expression developed by Mita (1979). This expression relates the fractional relative humidity over a solution droplet (*RH*) to three solution properties: water activity (a(w)), partial specific volume of water in solution (v(w)) and the air/solution interfacial tension ($\sigma(w)$).

229

$$RH = a(w) \cdot \exp\left(\frac{4M_w}{RT} \cdot v(w) \cdot \frac{\sigma(w)}{D_w(w, D_d)}\right)$$

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Here *w* is the weight fraction of solute in solution, M_w the molecular weight of water, *R* the universal gas constant, *T* the absolute temperature and D_w is the wet particle diameter. The dependence of the latter on solute weight fraction and dry particle diameter, shown explicitly in Equation 1a, is axiomatic if it assumed that the dry particle is a compact sphere, if all solute is dissolved and if the solution density is parameterized as a function of solute weight fraction $(\rho(w))$.

Since we employ data which expresses solution composition in terms of weight fraction, and also in terms of solute mole count per kilogram of water (molality), a second equation is needed to complete the exact Köhler formulism. Equation 1b describes the relationship among weight fraction, molecular weight of the solute (M_s) and solution molality (m)

242
$$m = \frac{w}{M_s \cdot (1 - w)}$$
(1b)

243 The formulism relies on the following sets of tabulated and parameterized solution 244 property data: a(m) (Low (1969a) for ammonium sulfate; Svenningsson et al. (2006) for 245 levoglucosan), $\sigma(m)$ (Seinfeld and Pandis (1998) for ammonium sulfate; Svenningsson et al.

(1a)

(2006) for levoglucosan) and $\rho(w)$ (Tang and Munkelwitz (1994)). For ammonium sulfate case the partial specific volume of water was derived via the $\rho(w)$ parameterization; however, for levoglucosan volume additivity was made. With that assumption the partial specific volume of water is equal to the specific volume of pure water (Brechtel and Kreidenweis, 2000). Equations 1a-1b were solved iteratively, yielding either a D_w at RH =0.98 (corresponding to a prescribed value of D_d) or a critical point relative humidity (RH_c) corresponding to a D_d . In summary, we refer to Equations 1a and 1b as the "exact Köhler model".

253 Our analysis uses a simplified Köhler model, the "working Köhler model", which we 254 tuned to the exact model. For the tuning parameter we use a quantity which cloud and aerosol 255 physicists may confuse for the vant Hoff factor (i). We demonstrate in the Appendix that the 256 tuning parameter, symbolized by "*j*", should converge to the vant Hoff factor in situations where the water/solute solution is dilute, i.e. if the mole amount of water in the solution is much 257 258 larger than the mole amount of solute. For common inorganic materials contained in particles 259 which are deliquesced this occurs at *RH* values larger than approximately 0.95, and for many 260 low molecular weight organics this relative humidity threshold is approximately 0.99 (Chan et 261 al., 2008; Kreidenweis et al., 2008).

The working Köhler model is actually two different formulations. The first (Equation 263 2a) describes an equilibrium at RH =0.98 and contains the parameter j_e which was adjusted to 264 force the best possible agreement with the exact model. The second formulation is for the 265 situation at the critical point (Equations 2c is the derivative of Equation 2b set to zero) and 266 contains j_c which was also adjusted to force the best possible agreement with the exact model.

267
$$RH = \exp\left(\frac{4M_w\sigma_w}{RT\rho_w} \cdot \frac{1}{D_w} - j_e \cdot \frac{\rho_s M_w}{\rho_w M_s} \cdot \frac{D_d^3}{(D_w^3 - D_d^3)}\right)$$
(2a)

268
$$RH_c = \exp\left(\frac{4M_w\sigma_w}{RT\rho_w} \cdot \frac{1}{D_w} - j_c \cdot \frac{\rho_s M_w}{\rho_w M_s} \cdot \frac{D_d^3}{(D_w^3 - D_d^3)}\right)$$
(2b)

269
$$0 = -\frac{4M_w \sigma_w}{RT \rho_w} \cdot \frac{1}{D_w^2} + 3D_w^2 \cdot j_c \cdot \frac{\rho_s M_w}{\rho_w M_s} \cdot \frac{D_d^3}{(D_w^3 - D_d^3)^2}$$
(2c)

In sections 5 and 6 Equation 2a is used to describe the relationship among D_w , D_d , RH at 270 271 a nominal measurement relative humidity ($RH \sim 0.98$) and measurement dry diameter, to derive an instrument-defined value of j_e and to assess the sensitivity of that j_e to experimental error in 272 D_d and RH. Also, Equations 2b-2c is used to establish the chamber supersaturation 273 calibration, to derive an instrument-defined value of j_c and to evaluate the sensitivity of that j_c 274 to experimental error in D_d and RH_c . The working Köhler model has the advantage that it 275 276 collapses the description of a(w) into a simplified form containing a single adjustable parameter, 277 and because this parameter is analogous to the van't Hoff factor familiar to many in the aerosol 278 physics and cloud physics communities (Appendix A). As with the other single parameter 279 Köhler model formulations (Hudson and Da, 1996; Petters and Kreidenweis, 2007; Wex et al., 280 2007) the working model can be solved forward and backward with a numerical equation solver 281 without recourse to a lookup table or to curve fitting. It is this feature which facilitates our 282 analysis of the LExNo data set. For solving the working model equations we employ an 283 equation solver called "Newton" (IDL, ITT Visual Information Solutions, Boulder, CO, USA). 284 In Equation 1a, and in Equations 2a-2c, the temperature is fixed at a constant value (T=298.15 K) and ρ_s is set at 1769 kg/m³ for ammonium sulfate and 1600 kg/m³ for 285 levoglucosan. Also, for Equations 2a-2c the surface tension is approximated as that of pure 286 water ($\sigma_w = 0.0722 \text{ J/m}^2$ at T=298.15 K, Pruppacher and Klett, 1997) and the water density is 287 assumed constant (ρ_w =997 kg/m³). Because of the sensitivity of σ_w to temperature 288 289 (Pruppacher and Klett, 1997), and since there is a difference between particle temperature in the 290 instruments which cool the aerosol (Wyoming, Copenhagen and Leipzig) as opposed to those 291 which warm it (Mainz), our assumption of one temperature for all instruments introduces a bias. 292 By including a temperature-dependent interfacial tension in our exact model, and using estimates of the particle temperatures, we estimate this bias to be no larger than $0.05 SS_c$ units. 293 294 The four panels of Figure 2 compare the exact and working Köhler models. The basis for 295 this comparison is a ratio formed by dividing the difference between the working and exact

296 models by the prediction of the exact model. We refer to this ratio as the relative departure.

297 Plotted in panels 2a and 2b is the relative departure for D_w , evaluated for both ammonium

sulfate and levoglucosan at RH = 0.98 and formulated as $10^2 \times (D_{w,W} - D_{w,E}) / D_{w,E}$ where the

²⁹⁹ "W" and "E" subscripts indicate the working and exact models, respectively. In addition, panels ³⁰⁰ 2c and 2d present the relative departure for RH_c , evaluated as $10^5 \times (RH_{c,W} - RH_{c,E})/RH_{c,E}$. ³⁰¹ In all four panels the middle line represents to the parameter (j_e or j_c) which produces the best ³⁰² agreement between the working and exact models over the range of dry diameters employed ³⁰³ during LExNo. Below and above this line are results obtained using tuning parameters which ³⁰⁴ are 5% larger and 5% smaller than j_e (panels 2a and 2b), or tuning parameters which are 1% ³⁰⁵ larger and 1% smaller than j_c (panels 2c and 2d).

Three conclusions are reached by examining Figure 2. First, the areas enclosed by the 306 $\pm 5\%$ j_e lines (panels 2a and 2b), and the areas enclosed by the $\pm 1\%$ j_c lines (panels 2c and 2d), 307 308 contain the "zero" value of the relative departure. This means that a value in the range $1.80 \le j_e \le 1.99$, in the case of ammonium sulfate (panel 2a), and in the range $0.82 \le j_e \le 0.90$, 309 310 in the case of levoglucosan (panel 2b), produces exact agreement between the working and exact 311 models. A similar conclusion is reached from an examination of panels 2c and 2b, but here a \pm 312 1% range of j_c is displayed. In Section 6.4 we will demonstrate that a relative accuracy of either 5%, in the case of an instrument-derived value of j_e , or 1% in the case of an instrument-derived 313 value of j_c is impossible to achieve experimentally. Second, we see that the two tuned values of 314 j_c are smaller than that for levoglucosan and ammonium sulfate at infinite dilution; $j_c=1$ and 315 $j_c=3$, respectively. This implies that the situation at the critical point is non-ideal. Third, we 316 see that the value of j_c is larger than j_e ; this is true for both solutes and reflects the larger 317 318 degree of non-ideality in the more concentrated solution at RH = 0.98, compared to the situation 319 at the critical point.

320 **4.2–Validation of the Working Model**

The levoglucosan working model was used to derive values of SS_c which were compared to the calculation shown in Figure 5a of Svenningsson et al. (2006). For ammonium sulfate our assessment of the water activity, based on Low (1969a), was decreased by 0.00005 activity units to compensate for a departure from the AIM activity model (Kreidenweis et al., 2005). This decrease is justified by the comparison between the activities from Low (1969a), and the AIM model, seen in Figure 4b of Kreidenweis et al. After making that activity

- 327 correction, which increased j_c to 2.38, a comparison was made to the AIM-based SS_c values
- 328 reported in Kreidenweis et al. (their Table 2). In both of these model comparisons the agreement
- 329 with the published SS_c was within 0.01 SS_c units. Furthermore, a sensitivity study
- demonstrated that the approximation $v(w) \approx M_w / \rho_w$ leads to negligible differences over
- the range particle diameter of relevance to LExNo (35 to 95 nm).

332 5-Analysis Methods

- 333 This section presents the chamber supersaturation calibrations of the Laramie,
- 334 Copenhagen and Mainz instruments (section 5.1), how those calibrations were used evaluate the

activation properties of the LExNo aerosols (section 5.2) and discusses a distinction between the

336 Leipzig instrument and the other three CCN instruments (section 5.2).

337 **5.1-Chamber Supersaturation Calibration and its Error**

Table 1 presents the chamber supersaturation calibrations developed for LExNo,

- demonstrating how the chamber wall temperature (T_w) , the flow-parallel chamber wall
- 340 temperature differences (ΔT), and the temperature-dependent "nominal" chamber
- 341 supersaturation (S_{nom} ; see Snider et al., 2006) are related to the maximum chamber
- 342 supersaturation. The latter we view as a property of the CCN chamber, and symbolize as SS (the
- 343 chamber supersaturation), to distinguish it from the particle-dependent property SS_c (the critical
- 344 supersaturation).

Since each of the participating institutions have access to an electrostatic classifier for preparing calibration particles of known size and composition, the chamber supersaturation calibrations were developed at the investigator's home laboratories. Detailed descriptions of this development are provided in Wex et al. (2006), Rose et al. (2008), and in Bilde and Svenningsson (2004) for the Leipzig, Mainz, and Laramie/Copenhagen instruments, respectively. Ammonium sulfate was the material used for the chamber supersaturation calibration studies.

The final column of Table 1 presents the precision associated with the chamber supersaturation calibration relationship; here referred to as the "supersaturation error." Evaluation of this error requires repeated measurements at several dry test particle sizes, as described in Wex et al. (2006) and in Rose et al. (2008) for the Leipzig and Mainz CCN instruments, respectively. For the Laramie and Copenhagen instruments the supersaturation error was derived via an analysis of the linear relationship between SS_c and S_{nom} (Snider et al.,

358 2006).

359 5.2-Laramie, Copenhagen and Mainz Instruments

360 In the case of the Laramie, Copenhagen and Mainz instruments the evaluation of 361 activation requires paired determinations of the concentration of CCN activating to form cloud droplets (CCN) and of the total particle concentration entering the CCN chamber (CN, see 362 363 section 3.1). Further, these simultaneous concentration measurements must be acquired over a 364 range supersaturation extending from negligible to complete activation of the test particles. We 365 define the ratio of the paired CCN and CN concentrations as the active fraction and symbolize it by "A." As an example, we plot values of "A" reported by the Laramie instrument in the top 366 367 panel of Figure 3. These data values are also overlain by error bars because each of the data 368 points is an average of at least ten "A" values measured at a fixed value of SS spaced by at least 369 0.02 SS units (section 3.4). The figure reveals two active fraction plateaus; one at $A \sim 0.3$ and 370 the other at $A \sim 1$. In the following paragraph we explain this behavior and how we account for it 371 in the analysis of the LExNo data set. Since our approach is different from that described in 372 Rosenørn and Bilde (????), we describe it detail.

373 The aerosols studied during LExNo were selected from two particle generation 374 techniques, both of which produced a relatively broad size distribution (Section 3.1; also see 375 Figure 3 of Stratmann et al., 2009). Of those particles which were selected from this broad initial 376 size distribution a subset has larger size, by virtue of the fact that they were transmitted by an 377 electrostatic classifier while carrying two, or more, units of electrical charge. Because these 378 larger particles activate at a lower supersaturation, their presence obscures the activation 379 behaviour of the physically smaller, but more numerous, unit-charge particles. Accounting for 380 this is a three-step process. First, a particular value of the supersaturation is identified at the transition between the minor and major plateaus; we refer to this as SS^* . Second, values of "A" 381 that plot in a narrow interval, extending from $SS = SS^*$ down to $SS = SS^* - \Delta SS$, were averaged 382 383 to obtain a value for the active fraction which is representative of the right edge of the first 384 plateau region. The top panel of Figure 3 shows the supersaturation averaging interval as a

vertical gray rectangle with width $\Delta SS = 0.06\%^{\ddagger}$. Third, the average (\overline{A} , shown as a gray horizontal line) is used to correct the active fraction values in the following manner:

387
$$A(SS)_{c} = \frac{A(SS)_{u} - \overline{A}}{1 - \overline{A}}$$
(3)

Here, $A(SS)_c$ is the corrected activate fraction and $A(SS)_u$ is the uncorrected active fraction. In section 6.2 where we show more examples of active fraction plotted versus supersaturation we will only show the $A(SS)_c$ values and we will refer to this as the "active fraction." Finally, we note that values of $A(SS)_c$ that correspond to the minor plateau are negative; these values were set to zero for the curve fitting (discussed below) and for the graphical presentations.

394 Our assessment of the aerosol activation properties is based on a fit of the $A(SS)_c / SS$ 395 data pairs. We chose a cumulative Gaussian function to fit the data

396
$$A(SS)_{fit} = \frac{C_2}{C_1 \cdot \sqrt{2 \cdot \pi}} \cdot \int_{-\infty}^{SS} \exp\left(-(SS' - C_0)^2 / (2 \cdot C_1^2)\right) \cdot d(SS')$$
(4)

Here C_2 is a scaling factor, C_1 is the standard deviation of the Gaussian function and C_0 defines the point where the function is equal to half its maximum value. For the Laramie, Copenhagen and Mainz instruments we take the value of C_0 to be the critical supersaturation of the test aerosol.

401 A fit of the corrected active fractions is shown in the bottom panel of Figure 3, and for 402 contrast, the fit of the uncorrected active fraction values is shown in the top panel. From an 403 examination of these fit lines and a comparison of the C_1 values it is apparent that the unit-404 charge particles (bottom panel) were distributed more narrowly than indicated by the fit of both 405 charge categories (top panel). The correction described here was applied to all LExNo 406 experiments which exhibited a substantial minor plateau.

^{*} Because of differences in the supersaturation scanning strategies, discussed in section 3.1, the ΔSS varied among instruments. We document both ΔSS and SS^* , in our data archive: http://www-das.uwyo.edu/~jsnider/lexno/FINAL.XLS. ADAM, DIANA AND JEFF WILL NEED TO SUPPLY VALUES OF ΔSS FOR THE ARCHIVE.

- 407 In summation, our analysis of the LExNo CCN data set is developed in terms of the 408 critical supersaturation of the aerosol, which we will symbolize as SS_c and derive from a curve fit
- 409 of $A(SS)_c / SS$ data pairs, and in terms of the width of the fitting function (C_1).
- 410 **5.3-Leipzig Instrument**

411

The determination of the SS_c by the Leipzig instrument was based on optical

- 412 measurements of the wet particle diameter (D_w) , made at the exit of the flow tube (Kiselev et
- 413 al., 2005; Wex et al., 2006), simultaneous with a recording the LACIS wall (T_w) . More detail
- 414 on why T_w controls the supersaturation maximum achieved along the LACIS centerline can be

415 found in Section 2 and in Wex et al. (2006). The particular T_w that is observed in association

416 with activation (i.e., D_w increasing markedly with increasing T_w) was translated to a critical

417 supersaturation via the calibration shown in Table 1.

418 6-Analysis of the LExNo Data Set

In this section we apply the calibrations and analysis tools, developed in Sections 4 and 5, to the CCN and hygroscopicity measurements that were made during LExNo. While our main focus is on particles synthesized to mimic those produced by combustion we first evaluate experiments which considered pure ammonium sulfate and pure levoglucosan particles.

423 6.1-Pure Ammonium Sulfate and Levoglucosan Particles

Table 2 shows that these particles were prepared by spray atomization of solute/water solutions, followed by aerosol drying, charge neutralization, size selection and dilution (Section 3.1). The selected dry particle diameters were 35, 50, 75 and 95 nm for the ammonium sulfate particles and 50, 75 and 95 nm for the levoglucosan particles. In the next two sections we present activation fractions and the critical supersaturations of these particles and also examine how well the Laramie, Copenhagen and Mainz instruments capture the width of the test particle size distribution function.

431 6.2-Cumulative Activation Spectra

432 Values of the active fraction are plotted versus supersaturation in the upper and lower
433 panels of Figure 4. The coordinates of this presentation are discussed in sections 5.1 and 5.2.
434 Furthermore only one of these coordinates is relevant to determinations of the SS_c coming from

435 the Leipzig instrument (Section 5.3), so we plot that particular SS_c value at an active fraction 436 equal to 0.5.

437 Figure 4 reveals two general results of the LExNo CCN measurements. First, the top three panels shows the excellent agreement among the five independent assessments of SS_c for 438 particles composed of ammonium sulfate - four CCN instruments and the working model 439 initialized with the mobility-selected dry diameter. Table 2 presents the SS_c relative standard 440 441 deviations for all of the pure ammonium sulfate experiments, demonstrating that this value is 442 typically 0.02. The reader is reminded that ammonium sulfate was the material used in the home laboratories to establish the chamber supersaturation calibrations. Second, poorer SS_c 443 444 agreement is seen in the bottom three panels of Figure 4 with the Mainz and Leipzig values 445 plotting noticeably larger than either the working model or the Wyoming or Copenhagen values. 446 This inconsistency is also reflected in the larger SS_c relative standard deviations reported for the 447 pure levoglucosan experiments (Table 2).

448 **6.3-Broadening of the Particle Size Distribution**

449 Careful examination of Figure 4, for example a comparison of the fit lines shown in the 450 two upper-left panels (top and bottom rows of Figure 4), suggests that the slope of the best fit 451 line at active fraction = 0.5 is shallower for the Laramie instrument than it is for the Mainz 452 instrument. This could mean that the former is broadening the test aerosol size distribution to a 453 greater degree than the latter. Figure 5 addresses this hypothesis by plotting a derived size 454 distribution width, based on fits of the active fraction data (section 5.2), versus the mobility 455 equivalent dry particle diameter for both an ammonium sulfate (upper panel) and a levoglucosan (lower panel) experiment. The size distribution widths $(2\sigma_{50})$ were evaluated as the difference 456 457 between dry diameters predicted by the working model when initialized with fractional saturation ratios corresponding to the SS_c minus one fitted standard deviation and SS_c plus one 458 459 standard deviation. This calculation mimics the assessment of size distribution broadening 460 previously reported for two Wyoming CCNs (Snider et al., 2006; see their Figure 10). One of 461 those CCNs is the instrument operated by the Laramie group during LExNo. We conclude 462 from this presentation that the width of the size distribution obtained from the two Wyoming-463 type instruments is approximately a factor of 2.5 times estimated width of size distribution. The 464 difference between the Wyoming-type and Mainz instruments seen in Figure 5 is expected, but is not yet explained mechanistically. It is thought that a factor contributing to the difference is the
fact that the Mainz instrument employs an optical particle counter to discriminate and count
activated particles while the Wyoming instrument relies on scattering from an ensemble of
particles (activated and unactivated) to infer the activated particle concentration (Gras, 1995;
Delene and Deshler, 2000; Snider et al., 2006).

470 6.4- Instrument-defined Values of j_c and j_e for Pure Ammonium Sulfate Particles

471 Here we demonstrate how the working model, combined with a measurements from a 472 CCN instrument and a high humidity tandem differential mobility analyzer (HH-TDMA; Hennig 473 et al., 2005) are used to derive instrument-defined values of j_e or j_c for size-selected pure 474 ammonium sulfate particles. We also gauge how experimental error propagates into these 475 estimates of j_e and j_c .

For this demonstration we pick a CCN measurement from LExNo and HH-TDMA
measurements performed prior to LExNo (Hennig et al., 2005, final row of their Table 2). The
mobility-selected dry particle diameters were 75 and 100 nm, for the CCN and HH-TDMA
measurements, respectively.

Error in D_d is presumed to result from error in the control and measurement of the air flow rate through the electrostatic classifier used to select the test particles. Consistent with the nature of this error source we take the upper- and lower-limits on D_d to be 5% larger than, and 5% less than, the nominal values. For the SS_c error we use $\pm 0.02 \cdot SS_c$ (section 6.2). For the error in the fractional *RH* we apply the uncertainty reported by Hennig et al. (2005); i.e., *RH* -0.012 to *RH* +0.012.

Figure 6 presents the instrument-defined values of j_e or j_c (indicated by two crosses), 486 derived by solving Equations 2b and 2c with measurements of SS_c and D_d and by solving 487 Equation 2a with measurements of D_d , RH and D_w . A set of four points surrounding the 488 489 nominal value is also evident. The coordinates of the four points were evaluated by solving for 490 the "j" predicted by the working model when initialized with the perturbed measurements 491 shown at each of the four vertices. We view the area of the resulting trapezoid as a domain that 492 encompasses the likely occurrence of the instrument-defined *j* values. Further, we note that the 493 uncertainties in these far exceed ambiguity stemming from the tuning parameter; recall that the

494 latter is no larger 5% (Section 4.1) while the half-height of the trapezoids in Figure 6 translate to a 15% error in the instrument-defined value of j_c and a 50% error in the instrument-defined 495 496 value j_e . Based on this juxtaposition of the instrument-defined j values and the tuning 497 parameters, and the uncertainties associated with the former, we conclude that refinement is 498 needed before CCN-based assessments can be useful in constraining j_c to better than ±15% and 499 that even greater improvement is needed on the side of relative humidity accuracy in the HH-500 TDMA. In spite of this pessimistic view of using either CCN or HH-TDMA to probe the 501 properties of solution droplets, either subcritical or activated, we shall see that the measurement 502 framework employed during LExNo does enable a robust assessment of the volume fraction of 503 hydroscopic material contained in the soot-ammonium sulfate and soot-levoglucosan particles.

504 **6.5-Instrument-defined** j_c and j_e values for Pure Levoglucosan Particles

In these experiments we evaluate properties of particles composed solely of levoglucosan prepared at the dry diameters 50, 75 and 95 nm. We supplement the CCN-based assessment with measurements from the HH-TDMA which we use to derive j_e at $RH \sim 0.98$ (section 3.1). In addition, a data set consisting of D_d and the instrument-defined j_e , plus the working model (Equations 2b and 2c with the instrument-defined j_e substituted for j_c) was used to evaluate a SS_c corresponding to the HH-TDMA measurements. Results are shown in Figure 7.

For the smallest dry particle diameter, the left panel reveals an over prediction of the working model by the Mainz and Leipzig instruments, and by the Leipzig instrument at the other two dry particle sizes. The other CCN and HH-TDMA SS_c values were indistinguishable from the working model when the measurement uncertainties were considered (results not shown). In the right panel the SS_c over predictions translate to an underprediction of j_c . Also in

this panel, we show the error trapezoid (section 6.4), but for clarity this is only shown for Wyoming and Leipzig data points. It is apparent that that the discrepancy between the Leipzig j_c value and the working model cannot be explained in terms of measurement error in either D_d and SS_c and that this is true for all three D_d values. Although the error trapezoid is not shown for the Mainz instrument, consideration of that uncertainty, reveals that the low value 521 of j_c derived for that instrument at $D_d = 50$ nm also cannot be explained in terms of error in D_d 522 and SS_c .

523 6.6-Internally-Mixed Particles

524 The procedures developed for synthesizing internally-mixed particles consisting of soot 525 and a hygroscopic material (ammonium sulfate or levoglucosan) are described in section 3.1. 526 Two approaches, one based on CCN measurements and one based on HH-TDMA measurements are used in our analysis of that data set. For the first, we use averaged SS_c values. The SS_c 527 528 values for the four instruments are shown in Figure 8 and the average SS_c and number of 529 participating CCN instruments is annotated. The figure demonstrates that data is missing from 530 the Laramie instrument after Experiment #63 and that the Leipzig instrument was unavailable for 531 Experiments #73 to #80. It follows that most of the SS_c averages are from at least three 532 instruments, yet about a quarter of the data set consists of averages derived from two instruments 533 (Mainz and Copenhagen). An examination of Figure 8 reveals that departure from the 534 experiment mean can exceed $0.1 SS_c$ units, especially for the Leipzig instrument, and that "typical" intra-experiment variability is approximately 0.05 SS_c units provided the Leipzig 535 measurement is excluded from consideration. The error estimate of $0.05 SS_c$ units is consistent 536 537 with the supersaturation error provided by the operator of the Laramie instrument, and is smaller 538 than the supersaturation error for the Copenhagen instrument (Table 1). Based on this we 539 conclude that the supersaturation error is bounding the intra-instrument variability seen in Figure 540 8, for the Laramie and Copenhagen instruments. Section 7 presents a discussion of the Leipzig and Mainz supersaturation errors the possibility that these estimates of SS_c were positively 541 542 biased relative to the continuous-flow values.

543 The hygroscopic volume fraction (ε) we derive from the CCN measurements is based on 544 the following equations.

~

545
$$RH_{c} = \exp\left(\frac{4M_{w}\sigma_{w}}{RT\rho_{w}} \cdot \frac{1}{D_{w}} - \varepsilon \cdot j_{c} \cdot \frac{\rho_{s}M_{w}}{\rho_{w}M_{s}} \cdot \frac{D_{d}^{3}}{(D_{w}^{3} - D_{d}^{3})}\right)$$
(5a)

546
$$0 = -\frac{4M_{w}\sigma_{w}}{RT\rho_{w}} \cdot \frac{1}{D_{w}^{2}} + 3D_{w}^{2} \cdot \varepsilon \cdot j_{c} \cdot \frac{\rho_{s}M_{w}}{\rho_{w}M_{s}} \cdot \frac{D_{d}^{3}}{(D_{w}^{3} - D_{d}^{3})^{2}}$$
(5b)

547 We note the similarity of these equations to those developed for dry particles containing only one 548 component (Equations 2b and 2c), and also point out that ε is defined as the hygroscopic 549 volume (ammonium sulfate or levoglucosan) relative to the dry particle volume. Although 550 Equations 5a and 5b are presented ad hoc, their justification follows from the recognition that the 551 quantity $\varepsilon \cdot D_d^3$ represents the volume of hygroscopic material. Hereafter, we will refer to ε as the 552 "volume fraction."

Equations 5a and 5b were solved simultaneously, with ε and the critical wet diameter as unknowns, subject to the constraint of an averaged SS_c , a D_d and material constants for either ammonium sulfate or levoglucosan (see Section 4.1). The derivation of volume fractions from the HH-TDMA measurements (RH, D_d and D_w) follows a similar approach but employs Equation 2a modified to include the volume fraction

558
$$RH = \exp\left(\frac{4M_w\sigma_w}{RT\rho_w} \cdot \frac{1}{D_w} - \varepsilon \cdot j_e \cdot \frac{\rho_s M_w}{\rho_w M_s} \cdot \frac{D_d^3}{(D_w^3 - D_d^3)}\right)$$
(5c)

559 In Figure 9 we present the volume fractions and their error limits. It is apparent that the 560 CCN and HH-TDMA volume fractions correlate and that much of the ε variability is driven by 561 oven temperature. This temperature dependence stems from the fact that the saturation vapor 562 densities of both levoglucosan and ammonium sulfate increase with increasing temperature, 563 resulting in more vapor available for condensation, subsequent to quenching, at larger oven 564 temperatures. The positive correlation is consistent with prior studies (e.g., Tao and McMurry, 565 1989) and is also discussed in the LExNo overview paper (Stratmann et al., 2009) and in a more 566 complete analysis of the LExNo HH-TMDA than is presented here (Henning et al., 2009).

For the following discussion it is important to recall that the synthesized particles started out as mobility-selected soot aggregates which were either processed in a propanol compaction tube, or were not. We refer to the consequence of these two experimental options as propanolcompacted particles and uncompacted particles, respectively. Subsequent to this step the particles were passed though a propanol denuder and then into either the ammonium sulfate or the levoglucosan oven (Section 3.1).

573 Figure 9 demonstrates that the propanol-compacted particles have about the same, or 574 even larger, volume fractions compared to the uncompacted particles. The former of these two 575 observations is evident from the comparison of experiment #74 (propanol compacted) and

576 experiment #73 (uncompacted). For levoglucosan-coated soot particles the results are not as 577 clear; mainly because propanol-compacted and uncompacted levoglucosan experiments are not 578 available for comparable oven temperatures. Yet those experiments do suggest that the 579 propanol-compacted particles have a *larger* volume fraction compared to uncompacted particles. 580 This result is unexpected; we thought that propanol compaction would lower the surface area 581 available for additional condensation and would therefore produce particles with a smaller 582 volume fraction. The result suggests that the amount of condensation depends primarily on oven 583 temperature, and that particle surface area is of secondary importance.

584 Another result from in Figure 9 is the systematic difference among the volume fraction in 585 the middle-left panel; the most obvious being experiments #62, #63 and #73 with a volume 586 fraction of approximately 0.6 and approximately 0.3 coming from the HH-TDMA and CCN data 587 sets, respectively. While it is true that analyzing the HH-TDMA data with a Köhler model for 588 ammonium hydrogen sulfate (NH₄HSO₄), which was observed in these particles (Henning et al., 589 2009), does decrease the ε , a comparable decrease is also obtained for the CCN-based volume 590 fractions. Rather than show that result, we draw the reader's attention to the error limits in 591 Figure 9. For the HH-TDMA values the error limits were derived by perturbing the working 592 Köhler model with a fractional relative humidity above and below the nominal value (RH, 593 section 3.3). The range of this perturbation is from RH -0.012 to RH +0.012 (Hennig et al. 594 (2005)). With this measurement error we see an overlap between the lower-limit of the HH-595 TDMA calculation and the value of ε derived using the averaged SS_c. This result reinforces 596 the assertion made in section 6.4, i.e., that a RH measurement uncertainty of one part in a 597 hundred propagates into a 50% error in parameters which are multiplicative in the exponential 598 representation of the water activity in Equation 5c.

599 **7-Discussion of the Continuous-flow and Static-diffusion CCN Results**

600 LExNo brought together operators of the two most common instruments deployed for 601 investigations CCN properties. These two instruments, manufactured by the University of 602 Wyoming and Droplet measurement Technology, were operated with the LACIS instrument (in 603 CCN mode) and the high humidity tandem differential mobility analyzer. Laboratory 604 investigations of both pure and internally-mixed particles were conducted at the LACIS facility 605 in November 2005 during LExNo.

606

607 It is tempting to attribute the significant departures in Figure 7 to the relatively short time 608 interval that the continuous-flow instruments (Leipzig and Mainz) expose particles to the 609 maximum chamber supersaturation. Figure 2 shows the calculations which motivated this line 610 of inquiry. If our conjecture is correct, then it also follows that the continuous-flow instrument's 611 supersaturation calibration underestimates the thermal forcing (Table 1) necessary to grow 612 levoglucosan particles to their critical size in the time that is allotted; otherwise we see no reason 613 why the chamber calibration would be incorrect for levoglucosan particles. Figure 8 shows two 614 conflicting pieces of information which helps to resolve this issue. First, the middle-left panel 615 shows the Leipzig instrument is overestimating relative to the static chambers (and Mainz) in 616 experiments with internally-mixed particles containing ammonium sulfate. This suggests that 617 the departures seen for levoglucosan (Leipzig instrument, left panel of Figure 7) are caused by a 618 bias in the supersaturation calibration, and that they are not attributable to retarded growth of 619 levoglucosan particles relative to ammonium sulfate particles. Second, a few of the soot-620 levoglucosan experiments (#49 and #86, Figure 8) do exhibit the behavior as seen in left panel of 621 Figure 7; i.e. significantly larger SS_c values for the both continuous-flow instruments relative to 622 that reported by one of the static diffusion instruments. Given the first of these observations a 623 categorical conclusion about retarded particle growth of levoglucosan particles, in the Leipzig 624 instrument, is not defensible. Since the Mainz instrument plots closer to the static chambers in 625 Figures 7 and 8, a conclusion is also not defensible for this CCN.

626 The supersaturation error (Table 1) is a factor which weights in our ability to conclude with regard to a SS_c overestimation by the continuous-flow instruments relative to the static-627 628 diffusion chambers. Readers will recall from section 6.5 that an accounting of the supersaturation error gave an indication of SS_c overestimation in the case of the Mainz 629 630 measurements, made at the smallest pure levoglucosan diameter, and overestimation in the case 631 of the Leipzig instrument at all three levoglucosan diameters. Here we repeat that analysis using 632 the measurements of internally mixed particles, presented in Figure 8. Although the 633 supersaturation error limits are not shown Figure 8, an examination of that error reveals that their 634 magnitude (typically ± 0.04 and ± 0.02 SS_c units for the Leipzig and Mainz instruments, 635 respectively) is not sufficient to account for the positive departure between continuous-flow and 636 static-diffusion determinations of SS_c seen in experiments #49 and #86. On the other hand, the

637 supersaturation error is sufficient to explain the other continuous-to-static departures, some of

638 which go the negative direction (e.g., experiment #50). From this analysis, which compares a

639 suspected SS_c bias to the statistical error associated with the supersaturation calibration, it is

640 difficult to conclude affirmatively that the continuous-flow SS_c values were overestimated

641 relative the static-diffusion values.

642 9-Conclusions

643 A significant result of these studies is the level of agreement between the critical 644 supersaturations derived from the CCN instruments, from the HH-TDMA measurements and 645 from theory via measurements of dry particle diameter. For the five experiments which 646 examined pure ammonium sulfate particles in the diameter range 35 to 95 nm this agreement is 647 evident in the relative standard deviations shown in the top row of Table 2, and in the 648 presentation of one of these experiments made in the top three panels of Figure 4. Similar agreement was found between the two static diffusion CCN assessments of the SS_c for pure 649 650 levoglucosan particles, and with the critical supersaturation derived from HH-TDMA 651 measurements. Taken together, these results support the conclusion that levoglucosan solutions, 652 both at RH = 0.98 and at activation, do not behave ideally. This is consistent with the water 653 activity and surface tension parameterizations reported by Svenningsson et al. (2006), but since 654 the upper-limit error for the Wyoming instrument nearly touches the value $j_c = 1$ (Figure 7 right 655 panel) we cannot discount the possibility of ideal solution behavior. Prior studies of the 656 activation of pure levoglucosan in static thermal gradient chambers concluded that ideal behavior 657 could be assumed (Svenningsson et al., 2006; Rosenørn and Bilde, ????). Figure 7 also 658 illustrates a discrepancy among determinations of the critical supersaturation coming from the 659 static-diffusion and continuous-flow instruments.

By conducting parallel measurements with five instruments, LExNo made it possible for us to report on the measurement error inherent to a single-instrument determination of SS_c and to also explore the degree to which static-diffusion CCN instruments broaden the input size distribution, both relative to the Mainz instrument and relative to electrostatic classifier theory. The relative standard deviations which we report for 22 LExNo experiments (Table 2) indicate that the SS_c variability is roughly ± 0.05 SS_c units, provided one ignores an overestimate suspected to result from the relatively short processing time in the continuous-flow instruments. 667 With regard to broadening, we conclude that there is little evidence for this occurring in the 668 Mainz instrument and that the static diffusion CCN broadens by a factor 2.5 compared to the 669 width of the input size distribution.

670 Our final two conclusions are cautionary. First, LExNo was designed to maintain the test 671 aerosol population constant for approximately one hour. It is thus likely that applications which require time resolution better than one hour will be subject to SS_c error larger than what we 672 document here. Second, because of limitations in our understanding of how thermodynamic 673 674 state varies within the CCN instruments, we chose not to account for a substantial bias (± 0.05 SS_c units, section 4.1) resulting from suspected differences in particle temperature among the 675 four CCN instruments. Accounting for this bias should be an objective of future CCN 676

677 comparison studies.

678 Appendix

679 Here we consider a spherical binary liquid solution consisting of water and dissolved 680 solute. We demonstrate that the quantities j_e or j_c approximate the vant Hoff factor (i) in the 681 limit where the mole amount of water in the solution is large relative to the mole amount of 682 solute.

683 Water activity for a binary system can be expressed in terms of the mole amounts of 684 water and solute $(n_1 \text{ and } n_2, \text{ respectively})$ and a vant Hoff factor (i) (see, for example, 685 McDonald (1953)).

$$a_1 = \frac{n_1}{n_1 + i \cdot n_2} \tag{A1}$$

687 Equation A1 arranges to Equation A2

686

 $\frac{1}{a_1} = 1 + i \cdot \frac{n_2}{n_1}$ (A2) 689 Assuming water and solute contribute to the volume of the aerosol solution as pure

690 components (volume additivity assumption), and assuming that the dry aerosol particle is spherical and compact, the mole amount of water carried by a solution droplet of diameter D_w is 691

692
$$n_1 = \frac{\pi}{6} \cdot \frac{\rho_1}{M_1} \cdot \left(D_w^3 - D_d^3 \right)$$
 (A3)

693 Here D_d is the diameter of the dry aerosol particle and ρ_1 and M_1 are the density and molecular 694 mass of water. Evoking the spherical and compact assumption again, the mole amount of dry 695 aerosol material is

696
$$n_2 = \frac{\pi}{6} \cdot \frac{\rho_2}{M_2} \cdot D_d^3$$
 (A4)

697 Combining (A2), (A3) and (A4), the water activity can be described in terms of the vant Hoff698 factor, material constants and the two diameters

699
$$a_{1} = \frac{1}{1 + i \cdot \frac{\rho_{2} \cdot M_{1}}{\rho_{1} \cdot M_{2}} \cdot \frac{D_{d}^{3}}{\left(D_{w}^{3} - D_{d}^{3}\right)}}$$
(A5)

700

Assuming the second term in the denominator is small relative one and making a Taylor
 series expansion of the right side of Equation A5, the relationship simplifies to Equation A6

703
$$a_1 = 1 - i \cdot \frac{\rho_2 \cdot M_1}{\rho_1 \cdot M_2} \cdot \frac{D_d^3}{\left(D_w^3 - D_d^3\right)}$$
(A6)

The fractional relative humidity over the solution droplet (*RH*) is the product of water activity and the Kelvin effect. For the latter we assume negligible interaction between solution composition and surface tension (σ_w) and negligible interaction between solution composition and water partial specific volume (see Section 4.1). With these assumptions the Köhler Equation becomes

709
$$RH = \left(1 - i \cdot \frac{\rho_2 \cdot M_1}{\rho_1 \cdot M_2} \cdot \frac{D_d^3}{\left(D_w^3 - D_d^3\right)}\right) \cdot \exp\left(\frac{4M_w \sigma_w}{\rho_1 RT D_w}\right)$$
(A7)

Here *R* is the universal gas constant, M_w is the molecular weight of water, σ_w is the water surface tension and *T* is absolute temperature. Equation A7 can be further approximated by expressing the water activity in Equation A7 as an exponential

713
$$RH = \exp\left(\frac{4M_w\sigma_w}{\rho_1 RTD_w} - i \cdot \frac{\rho_2 \cdot M_1}{\rho_1 \cdot M_2} \cdot \frac{D_d^3}{\left(D_w^3 - D_d^3\right)}\right)$$
(A8)

The similarity between Equation A8 and Equations 2a and 2b means that quantities j_e or j_c approximate the vant Hoff factor (*i*). The main assumption is that second term in the dominator of Equation A5 is small relative to one; this implies that the solution is dilute $(n_1 >> i \cdot n_2)$. Table 1 - CCN instruments, chamber supersaturation calibration and supersaturation error

Туре	Operating Institution	Supersaturation Calibration	Supersaturation Error
Wyoming	Dept. of Atmospheric Science	^b $SS = 0.64 \cdot SS_{nom}$	$\delta_{SS} = 0.05 \cdot SS$
Static Diffusion	University of Wyoming, Laramie, USA		~~
^a (SN: CCNC-100A-104)			
Wyoming	Dept. of Chemistry	$SS = 0.71 \cdot SS_{nom}$	$\delta_{SS} = 0.18 \cdot SS$
Static Diffusion	University of Copenhagen, Denmark	nom in the second se	
^a (SN: CCNC-100A-107)			
^c DMT	Max Planck Institute for Chemistry	$^{d}SS = 0.077 \cdot \Delta T - 0.0052$	$\delta_{SS} = 0.03 \cdot SS$
Continuous Flow	Mainz, Germany		~~
^a (SN: 02/05/0011)			
^e LACIS	Leibniz Institute for Tropospheric Research	$f SS = 45.0 = 6.82 \cdot T + 0.259 \cdot T^2$	$\delta_{SS} = 0.05 \cdot \sqrt{SS}$
Continuous Flow	Leipzig, Germany	$35 - 43.0 0.02 I_W + 0.257 I_W$	
^a (SN: Not Applicable)			

^a SN is the serial number of the instrument. Since LACIS is unique, this is not relevant for the Leipzig instrument.

^b SS_{nom} is the "nominal" supersaturation computed from measurements of the difference between the bottom and top plate temperatures and the top

plate temperature according to the chamber model of Katz and Mirabel (1975).

^c During LExNo the Mainz instrument was operated with a 0.45 liter per minute sheath flow and a 0.05 liter per minute aerosol flow. The temperature at the column entrance was set at 22.6 $^{\circ}$ C.

^d Here ΔT is the difference between temperatures measured near the inlet and exit of DMT column (Rose et al., 2008)

^e During LExNo the Leipzig instrument was operated with a 4 liter per minute sheath flow, a 0.08 liter per minute aerosol flow and with the dew point of the aerosol and sheath flows set at 19.0 °C.

^f This is a fit of the LExNo calibration data.

Table 2 – LExNo experiments and intra-experiment SS_c relative standard deviations

Aerosol Type	Synthesis Method	Number of Experiments	Participating Instruments ^a	SS_c Relative Standard Deviation ^b
Ammonium Sulfate	Spray Atomization	5	LAR, COP, MAI, LEI	0.01, 0.02, 0.04, 0.01
Levoglucosan	Spray Atomization	3	LAR, COP, MAI, LEI	0.06, 0.10, 0.14
Soot-Uncompacted-Levoglucosan	Thermal Condensation	6	LAR, COP, MAI, LEI	0.05, 0.10, 0.06, 0.03, 0.02, 0.08
Soot-Uncompacted-Ammonium Sulfate	Thermal Condensation	5	LAR, COP, MAI, LEI	0.10, 0.08, 0.10, 0.04
Soot-Compacted-Ammonium Sulfate	Thermal Condensation	5	COP, MAI, LEI	0.03
Soot-Compacted-Levoglucosan	Thermal Condensation	3	COP, MAI, LEI	0.03, 0.06, 0.10

^a LAR = Laramie, COP = Copenhagen, MAI = Mainz and LEI = Leipzig

^b Derived as σ/\bar{x} where the denominator is the average of SS_c ($\bar{x} = \frac{1}{N} \sum_{0}^{N-1} x_i$), the numerator is $\sigma = \sqrt{\frac{1}{N-1} \sum_{0}^{N-1} (x_i - \bar{x})^2}$), and N is the number

of instruments participating in an experiment. A value of σ/\bar{x} is shown for experiments with N greater than 2.



Figure 0 – Schematic vertical crossections of the Laramie (top), the Leipzig (bottom left) and the Mainz (bottom right) CCN chambers



Figure 1 – Time-dependent chamber supersaturations based on models of the Leipzig, Mainz and Laramie CCN chambers. In the case of the Leipzig and Mainz instruments the supersaturation along the tube centerline is reported, starting at the tube entrance and ending at the tube exit. In the case of the Laramie CCN the supersaturation halfway between the top and bottom plates is reported, starting at the time the chamber is isolated and ending after the 20 s activation detection interval.



Figure 2 – Departure the working and exact Köhler models. The tuning parameter (either j_e or j_c) is shown labeling the middle line presented in each panel. Results obtained using a j_e value either 5% larger or 5% smaller is indicated in panels 2a and 2b. Results obtained using a j_c value either 1% larger or 1% smaller is indicated in panels 2c and 2d.



Figure 3 – Uncorrected active fraction plotted versus supersaturation (top panel) and corrected active fraction versus supersaturation (bottom panel). Data is from the Laramie CCN, LExNo experiment number #55. The test particles are composed of pure levoglucosan.



Figure 4 – Top, active fraction versus supersaturation; 95 nm diameter ammonium sulfate particles synthesized by spray atomization. Bottom, active fraction versus supersaturation; 75 nm diameter levoglucosan particles synthesized by spray atomization.



Figure 5 - The width of the test particle size distribution, at relative amplitude 0.61, derived from CCN measurements of the active fraction. The theoretical curve is based on Knutson and Whitby (1975) and corresponds to aerosol-to-sheath flow rate ratio used during LExNo (1-to-10), a mean free path for air (65.1 nm) and a Cunningham slip correction factor (Equation 8.34 in Seinfeld and Pandis, 1998).



Figure 6 – On the left, an instrument-defined value of j_c (indicated by the "+"), the rhombshaped error region discussed in the text and the value of j_c which forces the best possible agreement between the working and exact Köhler models. Used in the calculation of j_c is the average of the four SS_c determinations from LExNo measurements of a aerosol composed of 75 nm ammonium sulfate particles. On the right, an instrument-defined value of j_e (indicated by the "+"), the rhomb-shaped error region defined in the text and the value of j_e which forces the best possible agreement between the working and exact Köhler models. Used in the calculation of j_e is the fractional *RH* (0.977) and wet diameter (279 nm) from Hennig et al. (2005, final row of their Table 2). The error in fractional relative humidity is taken from Hennig et al. The test aerosol was composed of 100 nm dry diameter ammonium sulfate particles.



Figure 7 –Left, critical supersaturation values based on CCN and HH-TDMA measurements of levoglucosan particles prepared by spray atomization. Right, instrument-defined values of j_c and j_e , the rhomb-shaped error region for the Laramie and Leipzig CCN instruments, and horizontal lines showing the tuned values j_c and j_e .



Figure 8 – Critical supersaturation values for internally-mixed soot-ammonium sulfate and sootlevoglucosan particles. Above each panel is indication of whether, or not, the soot particles were compacted in the propanol compactor prior to entry into the ammonium sulfate or levoglucosan ovens (section 3.1). Below each panel is the experiment number, the temperature of the levoglucosan oven and the temperature of the ammonium sulfate oven.



Figure 9 – As in Figure 8, but for hygroscopic volume fraction (ε). Error limits on the HH-TDMA points come from Equation 5c initialized with *RH* -0.012 to *RH* +0.012 where *RH* is the fractional relative humidity inside the high-humidity electrostatic classifier of the HH-TDMA. Error limits on the CCN points come from Equations 5a and 5b initialized with $0.95 \cdot SS_c$ and $1.05 \cdot SS_c$.

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